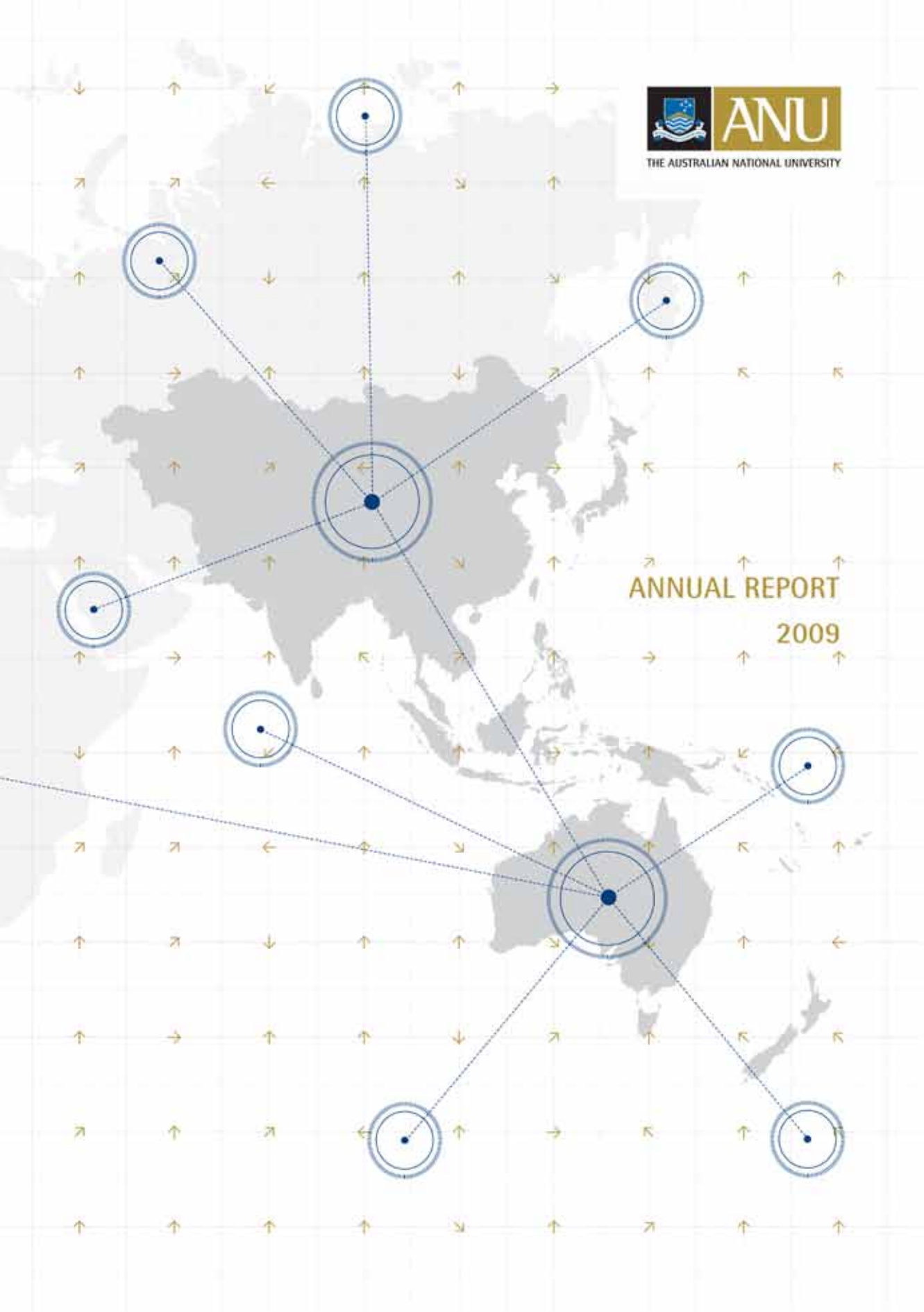




THE AUSTRALIAN NATIONAL UNIVERSITY

ANNUAL REPORT 2009





ANNUAL REPORT
2009

FURTHER INFORMATION ABOUT ANU

Detailed information about ANU is available from the University's website: www.anu.edu.au

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26 March 2010

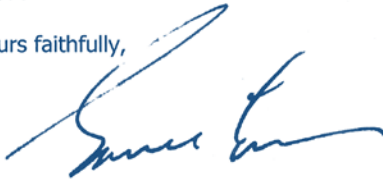
The Hon Julia Gillard MP
Deputy Prime Minister
Minister for Education, Employment and Workplace Relations
Parliament House
CANBERRA ACT 2600

Dear Deputy Prime Minister,

Report of the Council for the period 1 January 2009 to 31 December 2009

We have the honour to transmit the report of the Council of The Australian National University for the period 1 January 2009 to 31 December 2009 furnished in compliance with Section 9 of the *Commonwealth Authorities and Companies Act 1997*.

Yours faithfully,

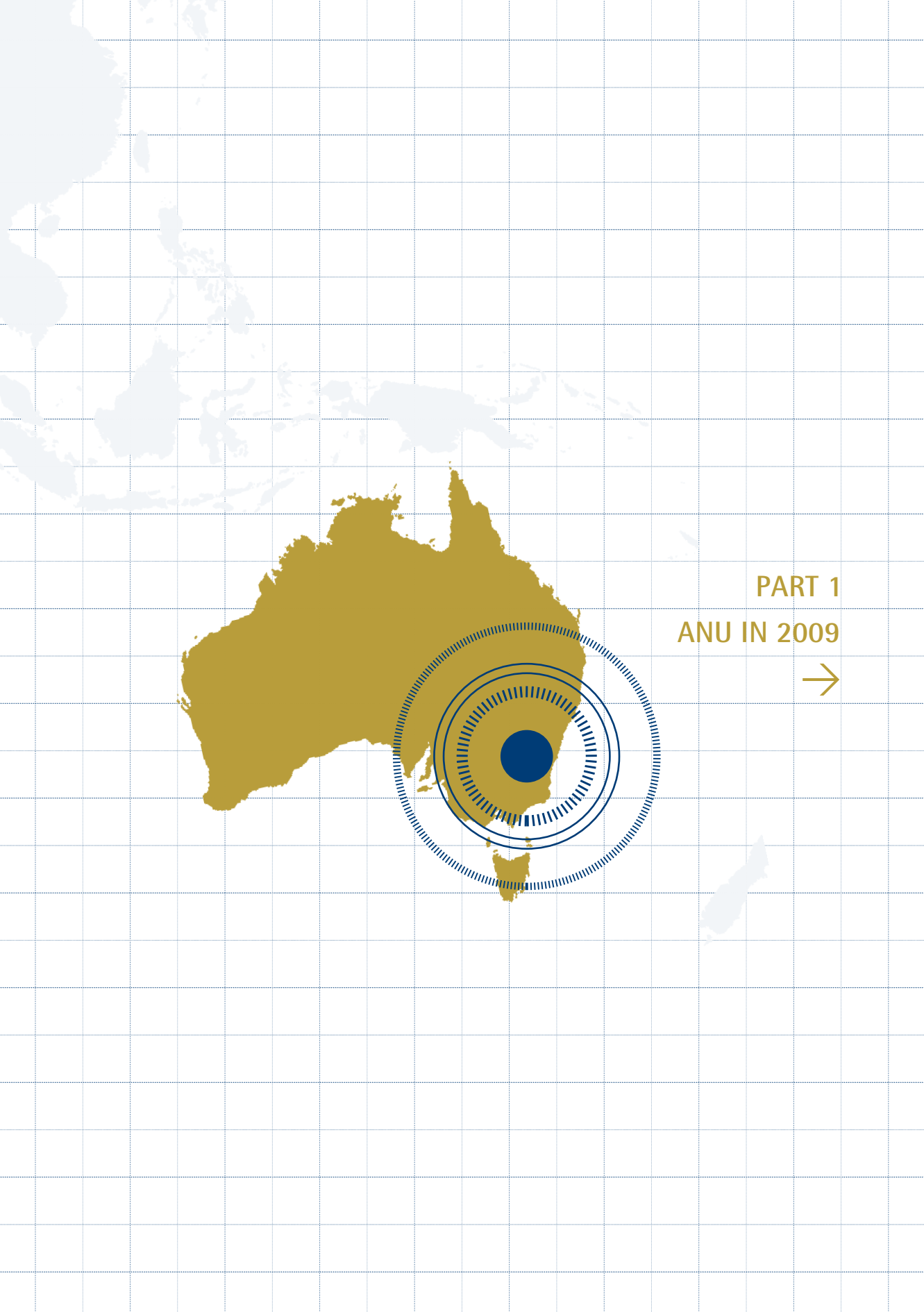


GARETH EVANS AO QC
Chancellor



IAN CHUBB AC
Vice-Chancellor





PART 1
ANU IN 2009
→

AN INTRODUCTION FROM THE VICE-CHANCELLOR



Vice-Chancellor Professor Ian Chubb AC

The Australian National University (ANU) was established in 1946. It was to be different from other Australian universities established by that time. The primary objective of ANU was to inject a substantial culture of research into Australia at a time when there was little but a need that was great.

The world is different now. There are other universities in Australia with established research cultures and achievements. And ANU has changed, too.

It is important that our own distinctive culture evolves while we retain key principles: it is important, for example, that we continue to meet the high expectations of our founders by sitting comfortably amongst the world's best (or great) universities.

Accordingly, we carefully monitor our performance – education and research – on an international scale using some of the world's best universities as benchmarks. This is a continuing project and will be expanded in the coming years.

Our aim is to ensure that our research is first class and that our graduates get a head start when they leave us to do whatever it is that they choose to do wherever in the world they choose to do it.

This Report outlines some initiatives that have taken place at ANU during 2009. Within the pages you will find commentary about:

- research strengths and performance
- initiatives and institutional alliances to support access to ANU from disadvantaged groups and Indigenous students and to provide opportunities for staff and students to collaborate in research projects
- international linkages to expand our scope of subjects offered to students and to develop research projects for staff and students



Prime Minister Kevin Rudd speaks at the opening of the new JCSMR building.

- partnership programs linking rural schools and community groups to ANU
- infrastructure to enhance the quality of the ANU learning and living environments.

There were two particularly large investments in 2009 that will support research, research training and undergraduate programs.

The Prime Minister, the Hon. Kevin Rudd MP, formally opened stages 1 and 2 of The John Curtin School of Medical Research (JCSMR) redevelopment, both stages of which were funded by the Commonwealth. The final stage of the JCSMR project will be completed using an additional grant of \$60 million from the Australian Government's Health and Hospitals Fund.

A \$90 million grant from the Government's Education Investment Fund (EIF) in 2009 was awarded for the construction of a major Chemical Sciences Hub, which forms stage 2 of the ANU Sciences Transformation Project.

The Prime Minister reminded us at the opening of the JCSMR that ANU was established as a strategic endowment for the nation¹. It is important that we continue to meet the obligations that are implicit in that description. This Report outlines the steps we took in 2009 to build on our history and prepare for our special role in the future.

1 The Hon. Kevin Rudd MP, Prime Minister, Official Opening of The John Curtin School of Medical Research – The Australian National University – 27 May 2009



THE JOHN CURTIN
MEDICAL RESEARCH

WAS OFFICIALLY
THE HON. KLA...
PRIME MINISTER OF...
ON 27 MAY 20...

A black and white photograph of a man with short, light-colored hair, wearing a dark suit jacket and a watch on his left wrist. He is standing in profile, facing left, and is in the process of unveiling a stone plaque. He is pulling a white cloth away from the top of the plaque. The plaque is mounted on a dark metal stand and features a map of Australia. The text on the plaque is partially visible and reads:

SCHOOL OF
OF BUILDING

UNIVERSITY OF
QUEENSLAND
STUDENT BY
RUDD MP
AUSTRALIA

2012

ANU COLLEGE PROFILE

TABLE 1: ANU COLLEGE ACTIVITY FOR 2009

ANU COLLEGE	FTE ¹			EFTSL ²					
	TOTAL STAFF	ACADEMIC STAFF	GENERAL STAFF	TOTAL STUDENT	HIGHER DEGREE RESEARCH	HIGHER DEGREE COURSEWORK	OTHER GRADUATE	UNDERGRADUATE	NON-AWARD
ANU College of Arts and Social Sciences	440	286	155	3,070	429	144	61	2,420	16
ANU College of Asia and the Pacific	349	190	159	1,341	294	419	131	440	56
ANU College of Business and Economics	173	125	48	2,899	105	511	38	2,160	86
ANU College of Engineering and Computer Science	143	78	65	900	155	120	0	624	1
ANU College of Law	116	70	47	1,651	35	175	714	717	11
ANU College of Medicine, Biology and Environment	803	376	427	1,908	428	95	28	1,351	6
ANU College of Physical Sciences	661	338	323	1,027	352	20	24	628	3
Other	953	15	938	20	4	0.1	0	16	0
TOTALS	3,639	1,477	2,162	12,816	1,802	1,484	996	8,356	178

1 Staff data based on DEEWR 31 March 2009 submissions (provisional).

2 For 2009, the official DEEWR reporting period is 1 January - 31 December. However the above EFTSL figures are for 1 September 2008 - 30 August 2009 and are provided to give an early indication of 2009 data based on official submissions. The 1 September - 31 December 2009 information was submitted to DEEWR on 31 March 2010.



ANNUAL RESULTS & SOURCES OF INCOME

The University's Operating Result, on a consolidated basis, as disclosed in the Annual Financial Statements is a surplus of \$69 million in 2009. This compares favourably with the reported deficit of \$108 million in 2008. The major improvements consist of a \$115 million reduction in impairments to \$50 million following the recovery in the investment markets. The result was also improved by the receipt of Capital Grants totalling \$41 million (up from \$24 million in 2008) and increased income from Full Fee Paying International Students of \$12 million.

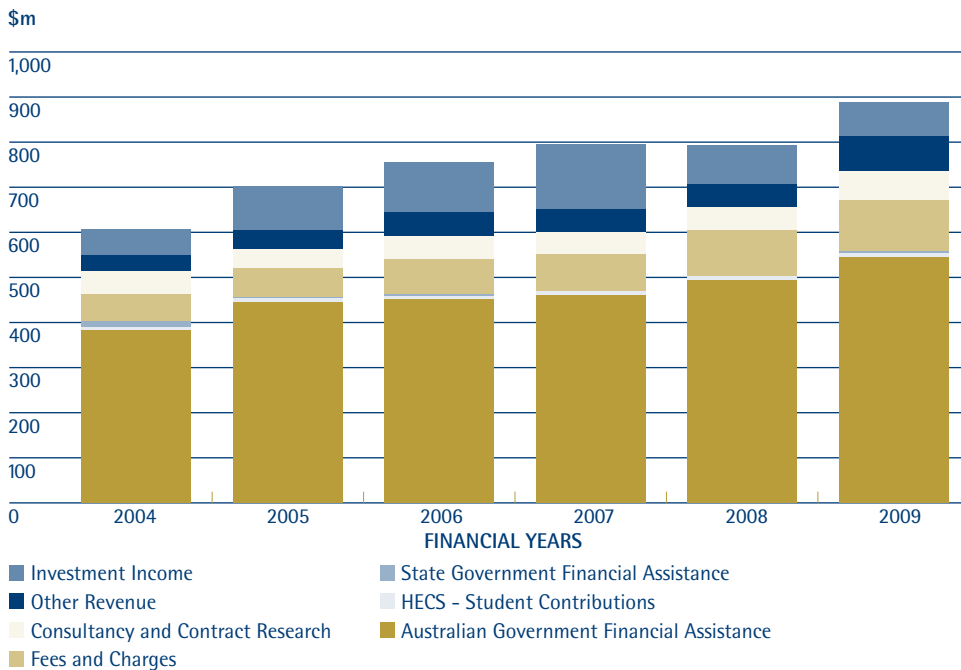
The University's consolidated Net Assets stand at a substantial \$1.715 billion with Financial Assets totalling \$1.150 billion.

The University's Total Income on a consolidated basis has increased from \$796 million to \$889 million in 2009.

The 2009 result is more reflective of 'normal operations' for the University and underpins the continuing delivery of world-class education and research activities.

Figure 1 shows the distribution of, and changes to, sources of the University's income.

FIGURE 1: THE AUSTRALIAN NATIONAL UNIVERSITY – ANALYSIS OF REVENUES



EDUCATION

ANU students enjoy an environment where intensive research and education sit side by side.

In 2009, the University expanded access to high-quality learning by developing capacity on campus and fostering new links across Australia.

ANU also celebrated its leading educators and students in 2009.

The benefits of studying alongside world leading researchers who are also teachers were reflected in the overall experiences of students, who gave ANU a five star rating on The Good Universities Guide educational experience satisfaction index.²

SCIENCE TRANSFORMATION LINKS RESEARCH & EDUCATION

In 2009, Stage 2 of the ANU Science Transformation Project began with construction of a \$90 million Chemical Sciences Hub, made possible through the Australian Government's Education Investment Fund.

The hub will include two new chemistry buildings, a new science teaching building, and a combined ANU Colleges of Sciences workshop.

Facilities will cater for the disciplines of physical chemistry, biological chemistry and synthetic chemistry and include eight wet laboratories for undergraduate students studying chemistry and biosciences. In addition, the hub will house several 150-seat and 50-seat flexible learning spaces, outdoor teaching areas, and analytical and instrument laboratories.

When complete in 2013, the complex will double ANU student capacity in chemistry, increase the number of work-ready science graduates, and channel high-quality science education to students across Australia's tertiary and secondary sectors.

HEALTH 'HUBS & SPOKES' PROJECT LAUNCHED

As Australia's national university, ANU contributes to the national agenda. In 2009, the University strove to increase national participation in higher education, helping to meet targets set by the Australian Government.

This was achieved in a number of ways including implementation of the Health 'Hubs and Spokes' Project, a collaborative program between ANU and the University of South Australia funded by the Australian Government's Diversity and Structural Adjustment Fund.

The project provides a wider range of study options for students taking best advantage of courses taught at both universities, as well as increasing opportunities for students from a wide range of economic and cultural backgrounds. Students also have increased access to inter-professional clinical placements in rural and remote areas.

The two universities are also establishing an interconnected Bachelor of Medical Science degree, which will develop greater understanding and empathy between various areas of the medical and health professions.

² *The Good Universities Guide 2010*, Hobson Pty Ltd

EDUCATION continued

NATIONAL ALLIANCES

During 2009, ANU began discussions with the University of Southern Queensland (USQ), Charles Darwin University (CDU) and the University of Canberra (UC) with the aim of establishing separate collaborative agreements. These alliances will capitalise on the strengths of each institution.

The alliance with USQ will bring together research-led education at ANU and USQ's expertise in flexible learning and teaching at a distance, while providing research opportunities for staff and students at both institutions.

The alliance with CDU will create links between the two universities in areas of common research and education interest, including Indigenous studies, environmental studies and health sciences, as well as providing a pathway into ANU for high-achieving students.

The alliance with UC focuses on getting the best out of the different roles the two institutions play in the ACT and surrounding region, including educational pathways for quality teacher training.

UNRAVELLING COMPLEXITY COURSE

In 2009, the University launched a new cross-disciplinary undergraduate course designed to enrich the student learning experience by drawing on the research strengths of the institution. The 'Unravelling Complexity' course was offered to high-achieving latter-year undergraduate students from all Colleges and taught by members of staff from a number of different discipline areas.

EDUCATIONAL QUALITY & STANDARDS

In early 2009, a working party was formed to develop a protocol to validate the standards and quality of the education experience provided by the University. Following the working party's report in May, the Quality and Standards Committee was established as a sub-committee of the University Education Committee. The Quality and Standards Committee will oversee the development and implementation of educational benchmarking activities across the University.

AWARD WINNING EDUCATORS

In 2009, the ANU Music Education Program won a National Award for Excellence in School Music Education for the third consecutive year.

Three academic programs and one ANU academic were recognised for teaching excellence and outstanding contributions to student learning in the Australian Teaching and Learning Awards. The ANU Medical School Rural Program, the Master of Applied Epidemiology and the ANU Medical School Research Projects Initiative were recognised in the Programs Awards category, cited for setting improved benchmarks for similar activities in other institutions. In the Teaching Awards category, Dr Paul Chen from the ANU College of Business and Economics was honoured for teaching excellence in the field of Law, Economics, Business and Related Studies.

PRIZE WINNING STUDENTS

ANU students also excelled in 2009. Outstanding students were allocated five scholarships in the inaugural round of the Prime Minister's Australia Asia Endeavour Awards.

As a result of the scholarships, three graduate and two undergraduate students will undertake international study and research, work placements and/or internships within the Asian region.

The students' achievements demonstrate the University's core strength in Asia and the Pacific as well as the commitment of ANU to educate the next generation of leaders for the region.

STUDENT EXPERIENCE

There were a number of initiatives in 2009 aimed at enhancing the quality of the student experience at ANU. These included: a review of the student residential facilities; the appointment of an internships project officer; a review of the experience of first year students; and the introduction of the Vice-Chancellor's Student Leadership Program.

DATA CYCLES - ANU STUDENTS HELP ELITE ATHLETES

➔ Keeping track of precise data for elite road cyclists' bicycle setups is now easier thanks to a web tool and database developed by ANU.

myBikeSetup, a software program developed by undergraduate students from the School of Computer Science at ANU College of Engineering and Computer Science, in collaboration with the Australian Institute of Sport (AIS), provides individual, accurate bicycle setup records that are critical for competitive cyclists.

Third and fourth year Software Engineering students developed innovative software to solve the problem presented to them by the AIS.

The web database includes a mobile interface allowing cyclists and mechanics to tap into the information from the field.

The students applied classroom skills to a real-world problem, with demonstratable results.



Professional cyclists stand to benefit from the myBikeSetup program developed at ANU.

EDUCATION continued

EDUCATION FACTS & FIGURES

TABLE 2: UNDERGRADUATE OPERATING GRANT LOAD AGAINST GOVERNMENT TARGETS FOR 2004 TO 2009

	2004	2005	2006	2007	2008	2009 ¹
Actual load	6,375	6,200	6,019	6,220	6,454	6,515
DEEWR Target	6,030	6,117	6,193	6,263	6,212	6,291
Over(under)-enrolment	5.7%	1.4%	-2.8%	-0.7%	3.9%	3.6%

1 Based on provisional data

TABLE 3: MEDIAN ENTRY SCORES FOR 2004 TO 2009

	2004	2005	2006	2007	2008	2009
Median Entry UAI Score	90.49	93.15	92.80	90.81	91.25	92.70

TABLE 4: STUDENT NUMBERS FOR 2009 (BY PROGRAM CAREER & HOME LOCATION)

DOMESTIC/ INTERNATIONAL	HOME LOCATION	GRADUATE	UNDER- GRADUATE	TOTAL
Domestic	ACT and Queanbeyan	2,767	5,150	7,877
	NSW	745	1,666	2,411
	Victoria	308	395	703
	Queensland	204	144	348
	Western Australia	93	65	158
	South Australia	80	46	126
	Tasmania	26	57	83
	Northern Territory	42	30	72
	Overseas	165	112	277
	Other/Unknown	14	4	18
Domestic Total		4,444	7,629	12,073
International	North-East Asia	530	978	1,508
	South-East Asia	445	752	1,197
	Southern and Central Asia	247	122	369
	Americas	123	55	178
	North-West Europe	90	33	123
	Oceania and Antarctica	60	24	84
	North Africa and The Middle East	57	15	72
	Sub-Saharan Africa	35	19	54
	Southern and Eastern Europe	32	13	45
	Other	2		2
International Total		1,621	2,011	3,632
TOTALS		6,065	9,640	15,705

Notes: Based on provisional enrolment data as at 12 October 2009. Data cover the January-June period. Students who are New Zealand citizens are classified as domestic students.

EDUCATION continued

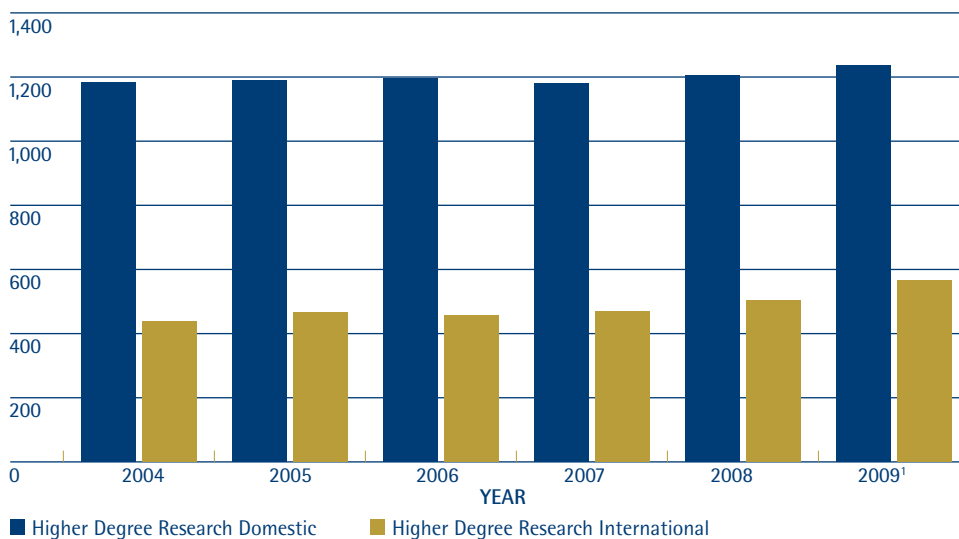
TABLE 5: EFTSL BY PROGRAM CAREER FOR 2004 TO 2009

PROGRAM CAREER	DOMESTIC/ INTERNATIONAL	2004	2005	2006	2007	2008	2009 ¹
Higher Degree Research	Domestic	1,184	1,188	1,197	1,181	1,204	1,237
	International	437	465	458	470	504	565
Higher Degree Research Total		1,621	1,654	1,655	1,651	1,709	1,802
Coursework	Domestic	7,363	7,196	7,045	7,384	7,864	8,055
	International	2,067	2,025	2,158	2,277	2,542	2,959
Coursework Total		9,430	9,220	9,203	9,661	10,406	11,014
TOTALS		11,051	10,874	10,858	11,312	12,115	12,816

¹ Data for 2009 are based on submissions to DEEWR including revisions up to 31 August 2009 and, while provisional, use the pre-2005 reporting period September–August

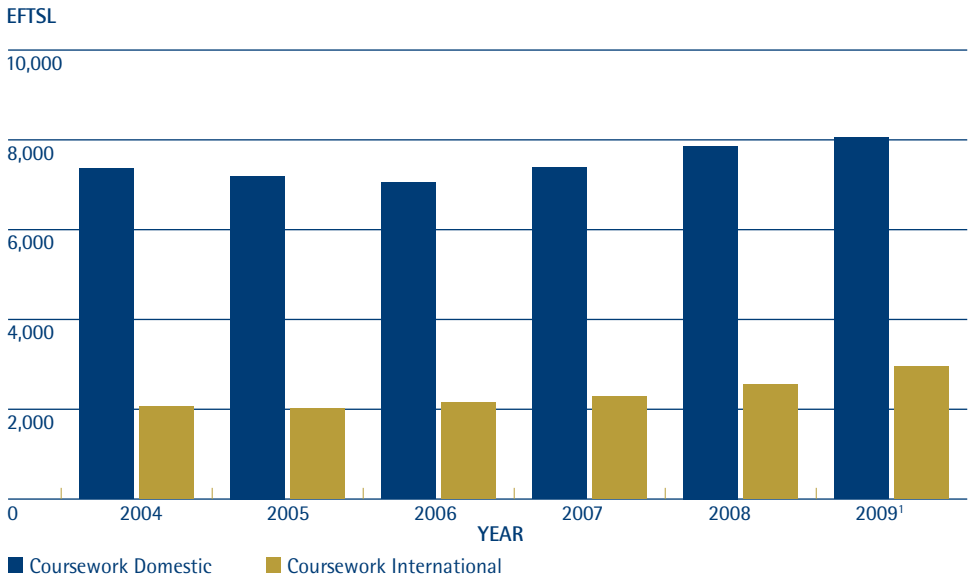
FIGURE 2: HIGHER DEGREE RESEARCH DOMESTIC/INTERNATIONAL EFTSL

EFTSL



¹ Data for 2009 are based on submissions to DEEWR including revisions up to 31 August 2009 and, while provisional, use the pre-2005 reporting period September–August

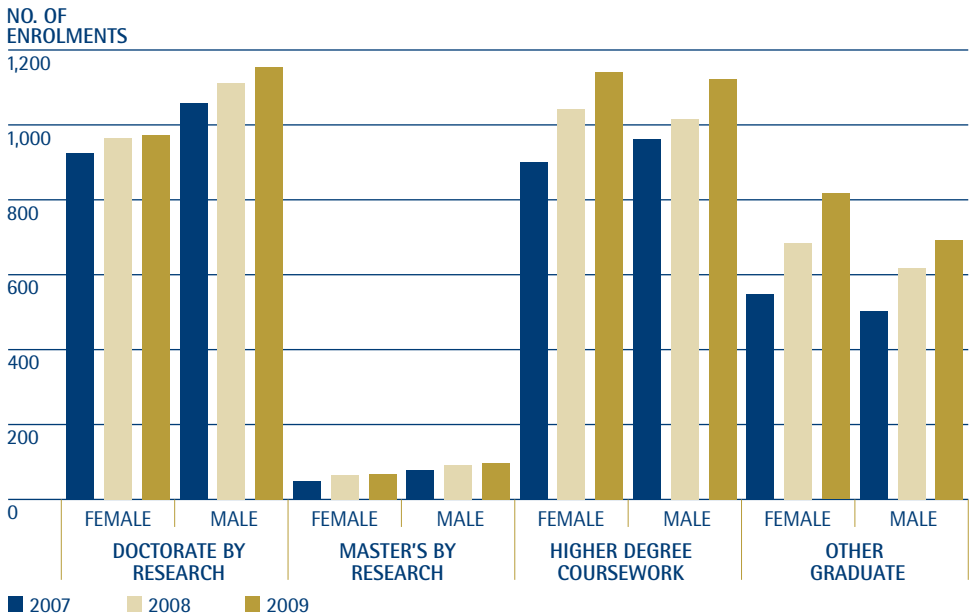
FIGURE 3: COURSEWORK DOMESTIC/INTERNATIONAL EFTSL²



1 Data for 2009 are based on submissions to DEEWR including revisions up to 31 August 2009 and, while provisional, use the pre-2005 reporting period September-August

2 Coursework data includes: graduate coursework, undergraduate and non-award

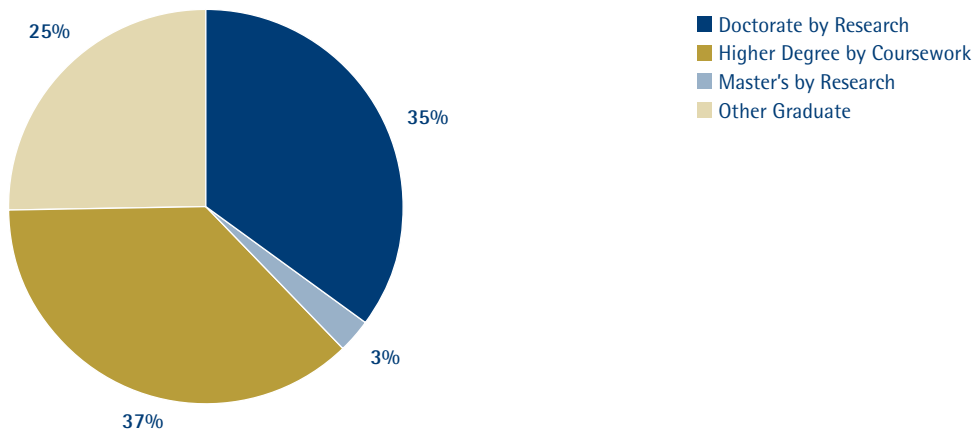
FIGURE 4: HIGHER DEGREE & GRADUATE STUDENT ENROLMENTS¹



1 The 2009 data are provisional and are based on the January-July period as at 12 October 2009

EDUCATION continued

FIGURE 5: 2009 HIGHER DEGREE & GRADUATE STUDENT ENROLMENTS¹



¹ The 2009 data are provisional and are based on the January-July period as at 12 October 2009

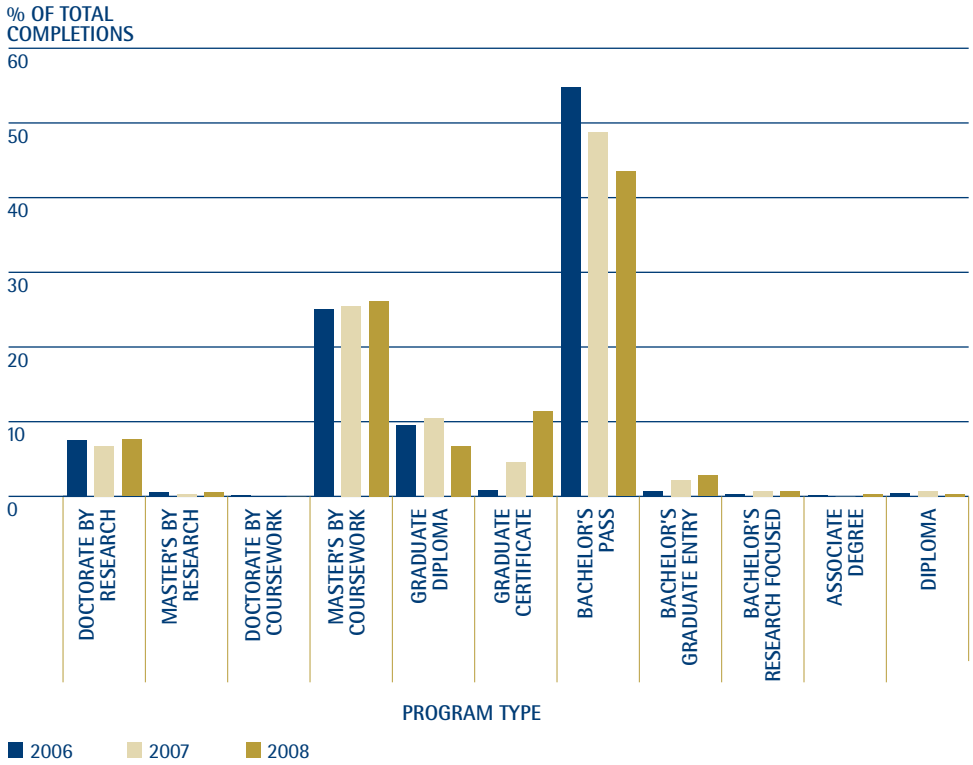
TABLE 6: COMPLETIONS FOR 2006 TO 2008¹

PROGRAM TYPE	2006	2007	2008
GRADUATE	PERCENTAGE OF TOTAL GRADUATE COMPLETIONS		
Higher Degree Research			
Doctorate by Research	17.2	14.1	14.4
Master's by Research	1.4	0.7	1.1
Graduate Coursework			
Doctorate by Coursework	0.2	0.1	0.0
Master's by Coursework	57.4	53.4	50.0
Graduate Diploma	21.8	22.0	21.8
Graduate Certificate	2.0	9.7	12.7
UNDERGRADUATE	PERCENTAGE OF TOTAL UNDERGRADUATE COMPLETIONS		
Bachelor's Degrees			
Bachelor's Pass	97.3	93.2	91.4
Bachelor's Graduate Entry	1.3	4.1	6.0
Bachelor's Research Focused (PhB)	0.5	1.3	1.4
Other Undergraduate			
Associate Degree	0.3	0.0	0.6
Diploma	0.7	1.2	0.6

Source: ANU Student Completions data source: Statistical Services

¹ 2009 data not available until April 2010

FIGURE 6: COMPLETIONS BY PROGRAM TYPE FOR 2006 TO 2008¹



Source: ANU Student Completions data source: Statistical Services

¹ 2009 data not available until April 2010

RESEARCH

Throughout 2009 ANU continued to lead the nation through research of the highest international standard on issues of national and global significance.

The University consolidated its position as the nation's leading research institution with the founding of Australia's first research school dedicated to business, the Research School of Business, within the ANU College of Business and Economics. Other infrastructure boosts included the launch of the nation's first optical research telescope in 25 years and the installation of a new supercomputer that will, amongst other things, address the challenge of climate change.

ANU is the lead institution in four of the EIF Super Science initiatives announced by the Australian Government in the 2009 Budget. The \$85 million worth of projects include: an upgrade of the High Performing Computing Facilities hosted by ANU in partnership with CSIRO and the Bureau of Meteorology; support for the National Ion Accelerators Project led by ANU in partnership with the University of Melbourne; an upgrade of the National Plasma Fusion Research Facility at ANU; and funding for the Australian Phenomics Network Project led by ANU.

ANU also continued to build human capital with two ARC Australian Laureate Fellowships and 21 ARC Future Fellowships going to ANU researchers.

The University embarked upon several collaborative research partnerships at a local, national and international level in order to bring real community benefits. A new position of Pro Vice-Chancellor (Innovation and Advancement) was created in 2009 to build stronger links with industry and commerce.

As a whole the University applied its research strength and capabilities to seek answers to the big questions facing Australia and the world, as well as to increase the nation's engagement and understanding of an increasingly complex world.

RESEARCH SCHOOL OF BUSINESS AUSTRALIAN FIRST

ANU was initially founded on research schools to advance the intellectual development of the nation. Investigation and discovery remain at the core of what the University does and how it defines itself.

In 2009, ANU established the first research school in Australia dedicated to business. The new school brings a level of research intensity in business not available anywhere else in Australia, addressing some of the fundamental challenges facing the business community, the economy and the nation.

Key areas of investigation will include critical issues such as financial planning in an aging economy and understanding the key drivers for success and failure of innovations.

NEW TELESCOPE TO MAP OUR SKIES

In 2009, ANU helped to unlock the secrets of the universe and to advance Australian astronomy.

Australia's first new optical telescope for 25 years was launched in 2009 at the ANU Siding Spring Observatory.

The \$13 million telescope, Skymapper, will complete the first digital map survey of the southern skies, providing essential data that will help to answer complex questions about the universe.

Custom built, Skymapper is a state-of-the-art telescope which adds to Australia's impressive capabilities in optical astronomy and demonstrates the University's commitment to world-leading research.

The telescope will help ensure that Australia remains at the forefront of space science by increasing capacity in optical astronomy. It also provides a foundation for the creation of international networks and collaborations in the field of astronomy.

Over the next five years Skymapper will take detailed images of the entirety of the southern sky, producing 400 terabytes of data – the equivalent of 100,000 DVDs. This data will be made freely available to astronomers all over the world through the Internet.

SUPERCOMPUTER ENHANCES NATIONAL RESEARCH CAPACITY

In 2009, the University played an important role in boosting the nation's computational research capacity by establishing Australia's most powerful supercomputer facility.

The new facility (a joint procurement by ANU and the Bureau of Meteorology) will allow modelling of the dynamics of climate change and extreme weather conditions.

The supercomputer, which processes as fast as 6,000 PCs, will mean researchers from across the nation have access to a world-class supercomputer facility. It will also form the basis of a national digital laboratory for climate science research, increasing the nation's capacity to respond to changes in the global climate system.

The supercomputer will be used to investigate solutions to other global challenges in nanotechnology, astrophysics, computational biology and chemistry, medicine, engineering, physics and photonics.

COLLABORATION REFLECTS NATIONAL ROLE OF ANU

ANU is a national asset established for Australia and all Australians, and supports the development of national identity.

In 2009, the University signed an agreement with the Australian War Memorial that will see the two institutions work together to commemorate the 100th anniversary of the ANZAC landing at Gallipoli. A conference to be held in 2015, will see leading national and international researchers reflect on the Gallipoli campaign and examine its place in Australian and other national histories.

Another joint conference in 2014 will mark the anniversary of the outbreak of the First World War.

JAPAN INSTITUTE LAUNCHED

ANU was founded to improve understanding of this nation and our region, and facilitate engagement with the world.

In response to a proposal at the Australia 2020 Summit, the University launched the Japan Institute in 2009.

The new institute forms a network of leading Japanese scholars looking at language, culture, economics, law and politics, and provides a single entry point for access to Japan-related expertise at ANU.

The Japan Institute will help ANU to meet the challenges of the Asia-Pacific century and better understand recent shifts in global economic and political power.

HOBBIT RESEARCH ADDS TO HUMAN STORY

➔ In 2009, ANU anthropologist Debbie Argue published new research supporting the theory that the 'hobbit' bones found on the Indonesian island of Flores come from a new species, potentially overturning longstanding ideas about human evolution and dispersal.

Ms Argue and her colleagues used 'cladistic' analysis – the first time this has been used in relation to *Homo floresiensis*. It compares the forms of organisms to determine ancestral relationships. The results suggest that *H. floresiensis* diverged from the *Homo sapiens* evolutionary line in the Early Pleistocene, or even the Pliocene, nearly two million years ago, meaning that it did not share an immediate ancestor with modern humans.

Ms Argue and her colleagues compared up to 60 characteristics from a range of early hominins, including *H. erectus*, and modern humans. They used two different computer-based modelling systems, testing relationships between the species to find the simplest, evolutionary line.

Ms Argue said the findings support the idea that *H. floresiensis* constitutes a new hominin species – but it doesn't stop there. The fact that the *H. floresiensis* population on Flores is a very early species suggests that hominins emerged from Africa much earlier than previously thought.

The research team included Professor Mike Morwood from the University of Wollongong and researchers from the Indonesian Centre for Archaeology.



Images: The Indonesian island of Flores was once home to diminutive hominins.

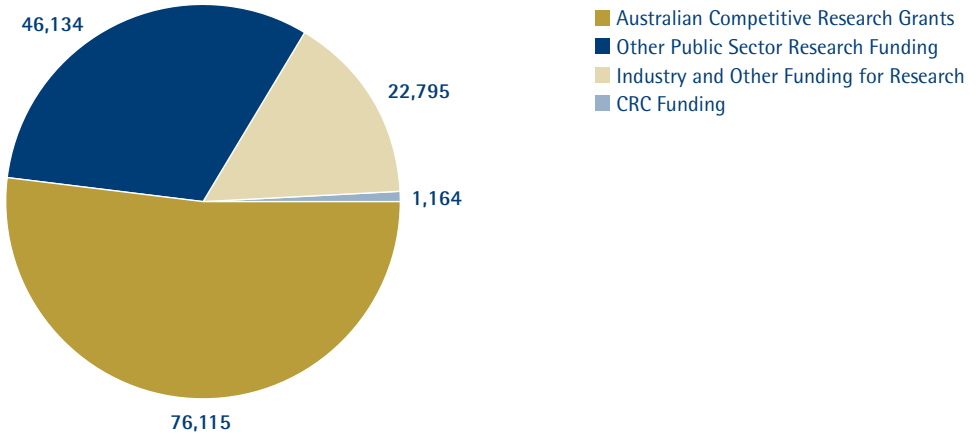
Photo: Adam Brumm

RESEARCH FACTS & FIGURES

TABLE 7: RESEARCH GRANTS & PUBLICATIONS FOR 2003 TO 2008¹

	2003	2004	2005	2006	2007	2008
RESEARCH GRANTS (\$'000)						
Australian Competitive Research Grants	55,635	63,832	76,347	78,729	77,226	76,115
Other Public Sector Research Funding	14,470	15,671	16,007	22,976	38,289	46,134
Industry and Other Funding for Research	24,954	19,902	17,880	18,416	18,453	22,795
CRC Funding	4,036	4,034	3,327	2,572	1,852	1,164
TOTAL RESEARCH GRANTS	99,096	103,439	113,560	122,693	135,820	146,208
RESEARCH PUBLICATIONS (ROUNDED)						
Books	65	70	68	59	74	81
Book Chapters	371	436	560	462	511	556
Journal Articles	1,390	1,583	1,632	1,617	1,721	1,180
Conference Papers	253	328	371	392	291	306
UNWEIGHTED TOTAL	2,080	2,416	2,631	2,530	2,598	2,723
WEIGHTED TOTAL²	2,340	2,697	2,904	2,766	2,896	3,046

FIGURE 7: RESEARCH GRANTS (\$'000)¹



Source: ANU returns to DIISR Higher Education Research Data Collections

¹ 2009 data not available until 30 June 2010

² Books are weighted as five and other categories as one

RESEARCH continued

TABLE 8: SUMMARY OF RESEARCH ACTIVITY FOR 2005 TO 2009¹

RESEARCH GRANTS	2005 (\$)	2006 (\$)	2007 (\$)	2008 (\$)	2009 (\$)
LIEF/SII/MNRF/NCRIS	12,959,013	14,379,369	14,454,105	16,075,016	21,207,085
ARC	54,022,759	60,339,945	55,959,951	52,550,724	55,279,660
NHMRC	14,235,881	12,967,634	14,997,901	16,095,402	15,723,411
Other (including ANU Enterprise)	45,301,431	49,386,335	64,862,264	77,561,891	56,516,221
TOTAL	126,519,084	137,073,283	150,274,221	162,283,033	148,726,377

LIEF - Linkage Infrastructure, Equipment and Facilities (ARC Funding); SII - Systemic Infrastructure Initiative (DEEWR Research Grant); MNRF - Major National Research Facilities; NCRIS - National Collaborative Research Infrastructure Strategy; ARC - Australian Research Council; NHMRC - National Health and Medical Research Council.

STAFF	2005	2006	2007	2008	2009
ARC Grants Et Fellowships	484	563	567	511	520
NHMRC Grants Et Fellowships	101	83	134	117	110
Total Academic Staff (FTE) ²	1,441	1,444	1,470	1,477	1,477
Staff: FTE, Level C+ ²	769	786	805	793	800
ARC Et MHMRC Grants/Staff (FTE, Level C+)	0.76	0.82	0.87	0.79	0.79
Publications index - value (Previous Year)	2,695	2,904	2,766	2,896	3,045

1 2009 data based on 2009 projections as at 13 November 2009

2 Based on DEEWR 31 March submissions

TABLE 9: RESEARCH GRANTS BY COLLEGE FOR 2009¹

ANU COLLEGE	ARC (\$)	NHMRC (\$)	OTHER (\$)	TOTAL (\$)
ANU College of Arts and Social Sciences	7,469,591	235,250	5,365,544	13,070,385
ANU College of Asia and the Pacific	7,918,275	87,250	13,429,743	21,435,268
ANU College of Business and Economics	1,143,624	0	56,233	1,199,857
ANU College of Engineering and Computer Science	4,225,021	0	8,801,792	13,026,813
ANU College of Law	290,796	0	9,000	299,796
ANU College of Medicine and Health Sciences ²	2,855,970	13,211,017	11,000,969	27,067,956
ANU College of Science ²	31,376,383	2,189,894	16,937,462	50,503,739
TOTALS	55,279,660	15,723,411	55,600,743³	126,603,814

1 Based on 2009 projections as at 13 November 2009. Excludes LIEF, SII, MNRF and NCRIS.

2 Data represented under the previous College structure

3 Total excludes research grants that do not fall under any of the seven Colleges

COMMUNITY ENGAGEMENT

Openness and accessibility are paramount at ANU. In 2009, the University ran hundreds of public events, many of which were also available as video or audio online to expand public debate on critical contemporary issues.

The University also ran a series of campus-visit programs for students from Indigenous communities and other groups under-represented in higher education.

Staff and students from ANU also travelled beyond the campus to take the conversation to communities around the nation.

RECONCILIATION ACTION PLAN LAUNCHED

One of the most important conversations in Australia involves reconciliation with the nation's Indigenous peoples.

ANU became one of the first universities in Australia to take campus-wide action on reconciliation with the launch of the ANU Reconciliation Action Plan (RAP) on 30 July.

The plan formalises the University's commitment to increase understanding of Indigenous culture and history, increase participation of Indigenous students and staff and foster partnerships in Indigenous research and development.

The ANU RAP was developed by a working group chaired by Professor Mick Dodson, Director of the ANU National Centre for Indigenous Studies and 2009 Australian of the Year. The committee included Indigenous and non-Indigenous academics and general staff as well as Indigenous student and community members.

The working group identified areas where the University could improve Indigenous involvement, including undergraduate education, employment, graduate education and community engagement.

The plan maps a series of targets and commitments for greater participation across these areas and sets a benchmark for initiatives that are to be implemented.

In addition to its focus on current staff and students, ANU also places a high premium on its relationship with graduates. In 2009, ANU Indigenous graduates, staff and friends celebrated 20 years of the Jabal Indigenous Higher Education Centre by launching the ANU Indigenous Alumni network.

REGIONAL PARTNERSHIPS EMPHASISED

In 2009, ANU built on its networks with students and schools in the region around Canberra.

The ANU Regional Partnerships Program began in early 2009, enabling ANU students to act as 'community ambassadors' by mentoring middle secondary students at high schools in Young, Goulburn and the NSW South Coast.

ANU student volunteers provided academic assistance to high school students, helping with homework or in the classroom. ANU staff and students also provided talks, demonstrations and other activities as part of the program.

COMMUNITY ENGAGEMENT continued

PRIZE FOR PACIFIC OUTREACH

The Pasifika Australia community outreach program won the Community Award at the 2009 Pacific Achievement Awards.

Pasifika Australia is an ongoing outreach and equity program run by ANU that involves young Pacific Islanders and their communities in Pacific studies and encourages them to pursue higher education.

The highlight of the program is an annual weekend workshop at the ANU Acton campus and the Kioloa Coastal Campus that brings secondary students of Islander heritage from across the ACT and Southwest Sydney to experience university life.

CONNECTING TO THE PEOPLE



In 2009, ANU used communication technologies to allow more access to its public events than ever before.

Live video feeds expanded audiences for conferences on the themes of contemporary conflict, psychology, climate change and the 'Forgotten Australians'.

An increasing range of video stories, public lectures and forums are now also available on the ANU Channel on YouTube, which was launched in 2009.

In another first, the ANU School of Music streamed its concert Clarinet Ballistix online as part of the Streaming Sounds series.

Twitter was also used by the University extensively for the first time in 2009, allowing hundreds of people to follow and comment on University events as they happened.



Sebastian Kaempf presents at the War 2.0 conference at ANU in October 2009. The conference was also live streamed online to more than 1,000 people.

Photo: Darren Boyd

INTERNATIONAL RELATIONS

ANU capitalises on its global connections to take the best of Australian thinking to the world, and to bring the best of the world's thinking home to Australia.

In 2009, the University forged new alliances and built on existing ones to boost international pathways for Australian academics and students.

PAN-ASIAN STUDIES INSTITUTE CREATED

In 2009, ANU formed a partnership with the US-based Indiana University (IU) to create a jointly operated Pan-Asian Studies Institute.

The two universities agreed to an implementation plan over the next three years that will see multiple levels of cooperation in research and education.

IU will offer distance language courses in Uzbek and Pashto to ANU students via video links, while ANU will initially offer Indonesian language studies to IU students via the same technology. ANU will also offer IU students Sanskrit through web-based learning.

The Pan-Asian Studies Institute will foster exchanges between the two institutions for senior researchers, early career researchers, graduate and undergraduate students.

AFRICAN COMMUNICATORS GAIN SCIENCE KNOW-HOW

In a world first, African communicators visited ANU in July to learn how to better convey science to the public.

AusAID funded eight science communicators from South Africa and Lesotho to spend 10 weeks at the Centre for the Public Awareness of Science (CPAS) at ANU, learning how Australian institutions make science engaging for non-experts.

"The South African government is keen to roll out a whole series of science centres over the next 20 years, and we've been chosen to help these visitors who mostly work at senior levels in existing science centres," said CPAS Director Associate Professor Sue Stockmayer.

"This is the first time such a program has been conducted," Associate Professor Stockmayer said. "It's significant that they [the South African government] identified Australia as the place to get help on this, and ANU was identified as the only place in Australia to get that help."

FOCUS ON STRATEGIC ALLIANCES

In 2009, ANU not only strengthened its international alliances, but also built on existing global connections to research issues directly related to international alliances.

In May, international relations experts from ANU won a US\$600,000 grant from the US-based MacArthur Foundation to explore how traditional security ties between the US, its treaty partners and other states in the Asia-Pacific fit into the region's multilateral security politics.

The research program will include a number of workshops and seminars, culminating in a major simulation in 2010 at ANU. The work will dovetail with existing security research being undertaken by ANU with its partners in the International Alliance of Research Universities (IARU), including the universities of Peking, Tokyo, Singapore and University of California Berkeley.

GIANT MAGELLAN TELESCOPE COLLABORATION

➔ ANU continues to develop pathways that link Australia into the international research community. The University was awarded \$88.4 million by the Federal Government from the EIF Super Science fund in 2009 to lead Australia's participation in the Giant Magellan Telescope (GMT) project – set to be the largest optical telescope on Earth when built in Chile.

The GMT project is an international collaboration that links ANU with the Carnegie Institution for Science, Harvard University, the Smithsonian Institution, the University of Chicago, Texas A&M University, the University of Texas from Austin, the University of Arizona, Astronomy Australia Limited, and the Korean Astronomy and Space Science Institute.

The funding, announced in July 2009 by Senator the Hon. Kim Carr, Minister for Innovation, Industry, Science and Research, is made up of a \$65 million contribution to the telescope's construction, and \$23.4 million for facilities to design and manufacture GMT components at ANU.

The GMT will detect and study planets around other suns, probe the dark matter and dark energy that controls the expansion and development of the cosmos, and unlock the secrets of star and planet formation.

Another collaboration, the HAT South project, will see ANU and the Max Planck Institute for Astronomy in Germany and the Harvard Smithsonian Center for Astrophysics in the US, establish a network of telescopes.

These telescopes will be used to discover elusive 'transiting planets', which will help researchers learn more about planet formation.



An artist's rendering of the Giant Magellan Telescope, which will be constructed in Chile.

INFRASTRUCTURE DEVELOPMENT

2009 was a year of continued capital growth and consolidation of infrastructure at ANU with the completion and commencement of many large scale building and refurbishment projects across the campus.

CAPITAL WORK PROJECTS COMPLETED

A highlight of 2009 was the opening of Stages 1 and 2 of the new building for JCSMR.

The \$84 million research facility includes three super laboratories, 180 new research stations, and state-of-the-art facilities for scientists studying in the fields of genomics, immunity, neuroscience, drug design and virology.

Other completed capital works included a new building for the Crawford School of Economics and Government, a new building for the ANU College of Business and Economics and the Wes Whitten Animal Facility, which forms a part of the ANU Colleges of Science Bioscience Hub.

CAPITAL WORK PROJECTS COMMENCED

Throughout the year work on a number of new projects commenced including the Chemical Sciences Hub, Stage 3 of the new JCSMR building, engineering laboratories in the ANU College of Engineering and Computer Science, a new building for the Fenner School of Environment and Society, and the Rural Clinical School located at Bateman's Bay on the NSW South Coast.

STUDENT ACCOMMODATION

ANU also furthered its commitment to meeting increased demand for campus accommodation with the opening of Kinloch Lodge in February.

The residence will provide a home for over 500 students in the heart of ANU Exchange, which links the University campus and City West. Another 500 plus bed complex is scheduled for construction in 2010.

ADVANCING SUSTAINABILITY

Sustainability on campus also received a boost with \$1.03 million in funding from the Australian Government's Green Precincts Fund.

The funding will support the installation of photovoltaic solar panels and the trial of a solar split air conditioning system, as well as increase the use of carbon-neutral transport on campus and upgrade facilities to assist long-distance bicycle commuters.

Water saving initiatives will also benefit with a plan to reduce the use of potable water on campus, saving more than 44 megalitres of drinking water a year. A stormwater harvesting system for landscape irrigation and the conversion of Willows Oval to synthetic turf will both save water.

INFRASTRUCTURE DEVELOPMENT continued

BUILDING FOR THE NATION'S INTELLECTUAL FUTURE

As a national institution, ANU benefits from strong links with the Australian Government. Many of the capital works projects which ANU commenced or completed throughout 2009 were made possible through partial or full funding from the Federal Government via a number of initiatives, including the EIF, the Better Universities Renewal Fund, the Teaching and Learning Capital Fund, and the Higher Education Special Purpose Grant (HESPG).

In accordance with the HESPG funding agreement, the University's long term asset management plan's estimates have decreased from \$542.9 million in 2007 to \$463.3 million in 2009. An update on the University's schedule of works (including capital expenditure) is provided below.

TABLE 10: SCHEDULE OF WORKS

NAME	CURRENT STATUS	EXPENDITURE TO DATE (\$ MILLION)	DATE CONSTRUCTION COMPLETED / TO BE COMPLETED
The John Curtin School of Medical Research Stage 2	Completed	47.4	February 2009
ANU Colleges of Science Stage 1 Biosciences	Building structure (slab and columns) completed	15.05	November 2011
ANU Colleges of Science Stage 1 Biosciences (Animal Facilities)	Completed	9.8	September 2009
ANU College of Science Stage 1 Biosciences (Central Plant Facility)	Final Sketch Plans completed and currently subject to approval	2.15	May 2011

'SHIPPING CONTAINER' ACCOMMODATION

→ ANU increased accommodation access for its students in 2009 by finishing works on Australia's first university accommodation facility based on stacked pre-fabricated shipping containers.

The Laurus Wing project - an addition to Ursula Hall at ANU - is a 70 unit facility to house 94 students, bringing the total number of student accommodation places at ANU to more than 3,700.

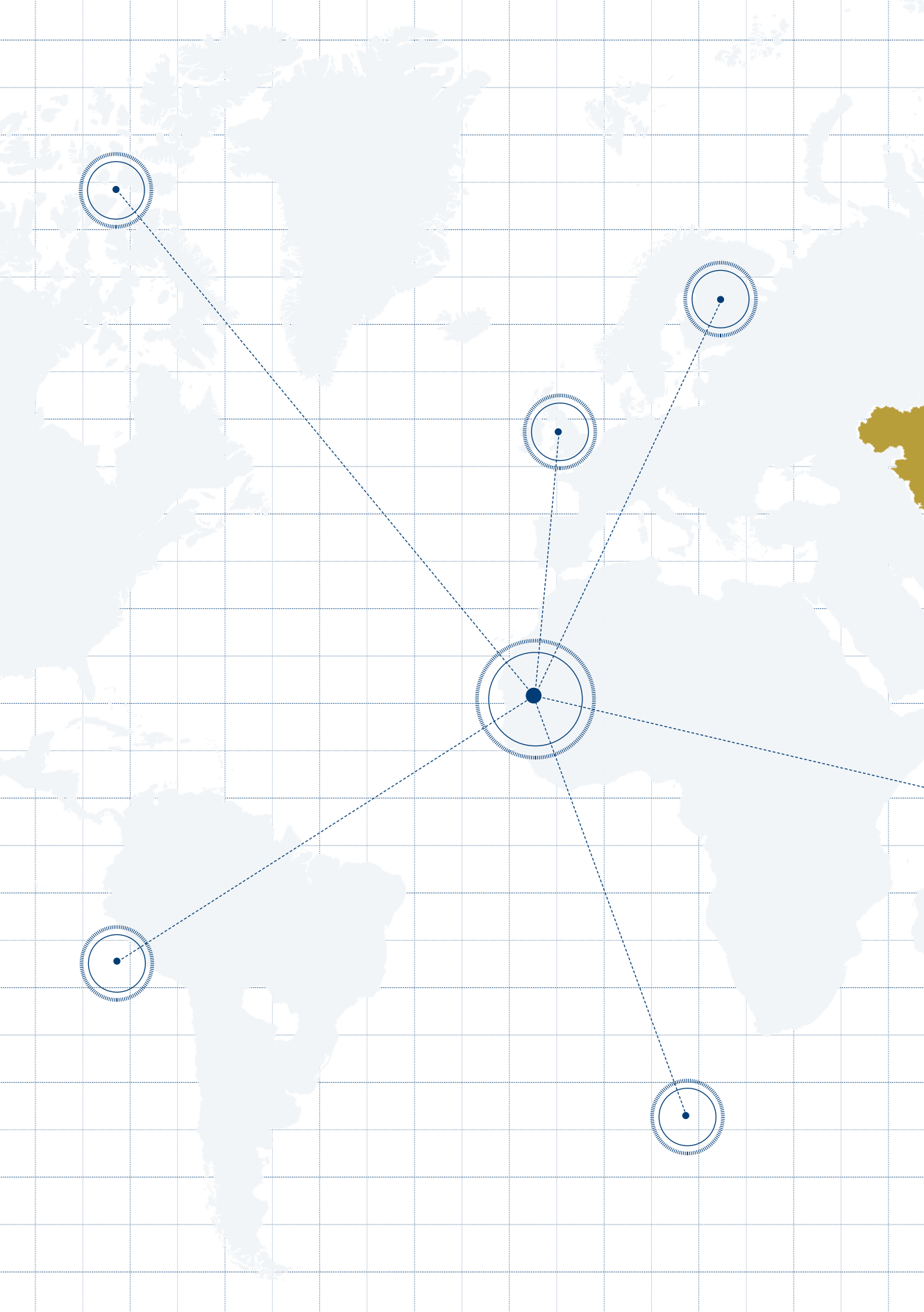
The shipping container apartment units are purpose built in China, where their interiors are furnished ready for occupation.

The completed six-storey building includes a mix of apartments for couples and singles. Each apartment includes its own kitchen and bathroom facilities, balcony plus Internet access and television.

The facility also includes a common room, laundry and bike storage.



A rendering of the inside of an apartment in the Laurus Wing.





PART 2
REVIEW OF OPERATIONS
→

STAFF

ANU continued to promote employment around the 'Discover' theme with a focus on better supporting staff recruited from overseas and interstate through its partnership with the ACT Government's 'Live in Canberra' program.

ANU committed to specific targets for Indigenous employment with the launch of the ANU Reconciliation Action Plan in 2009. A revised Disability Action Plan was also completed with the aim of supporting new and exiting staff with disabilities.

Leadership and excellence continued to be significant themes in 2009 with the successful completion of the Vice-Chancellor's senior leadership programs, establishment of a leader's network and a focus on career development, mentoring/coaching and performance management. In addition, ANU launched a range of programs to better support early career academic staff.

Supporting early career and senior academic women also continued as a feature of the University's approach to address the gender balance in the ANU staff profile. This saw the establishment of a mentoring program for women, a network of diversity contact persons and the development of a gender awareness program to help change culture and behaviour across the campus.

ANU commenced negotiations for a new Enterprise Agreement in late 2009. The key focus of the Agreement will be to ensure good quality conditions to support staff of the University.

TABLE 11: STAFF PROFILE BY COLLEGE/AREA & GENDER FOR 2009¹

COLLEGE/AREA	ACADEMIC		NON-ACADEMIC		TOTAL
	FEMALE	MALE	FEMALE	MALE	
COLLEGE					
ANU College of Arts and Social Sciences	134	176	128	55	493
ANU College of Asia and the Pacific	71	137	130	47	385
ANU College of Business and Economics	38	94	36	14	182
ANU College of Engineering and Computer Science	10	70	31	37	148
ANU College of Law	37	46	38	12	133
ANU College of Medicine, Biology and Environment	165	251	292	178	886
ANU College of Physical Sciences	60	289	117	222	688
TOTAL COLLEGE	515	1,063	772	565	2,915
NON-COLLEGE					
Administration	2	4	252	138	396
Central Libraries			137	28	165
Student Services	3	3	74	43	123
Buildings, Plant and Grounds			23	98	121
Central Computing			30	71	101
Other Academic Support			31	35	66
Other Areas	2	2	46	39	89
TOTAL NON-COLLEGE	7	9	593	452	1,061
TOTALS	522	1,072	1,365	1,017	3,976

1 Data based on headcount

STAFF continued

FIGURE 8: STAFF PROFILE BY ANU COLLEGE/AREA & GENDER FOR 2009

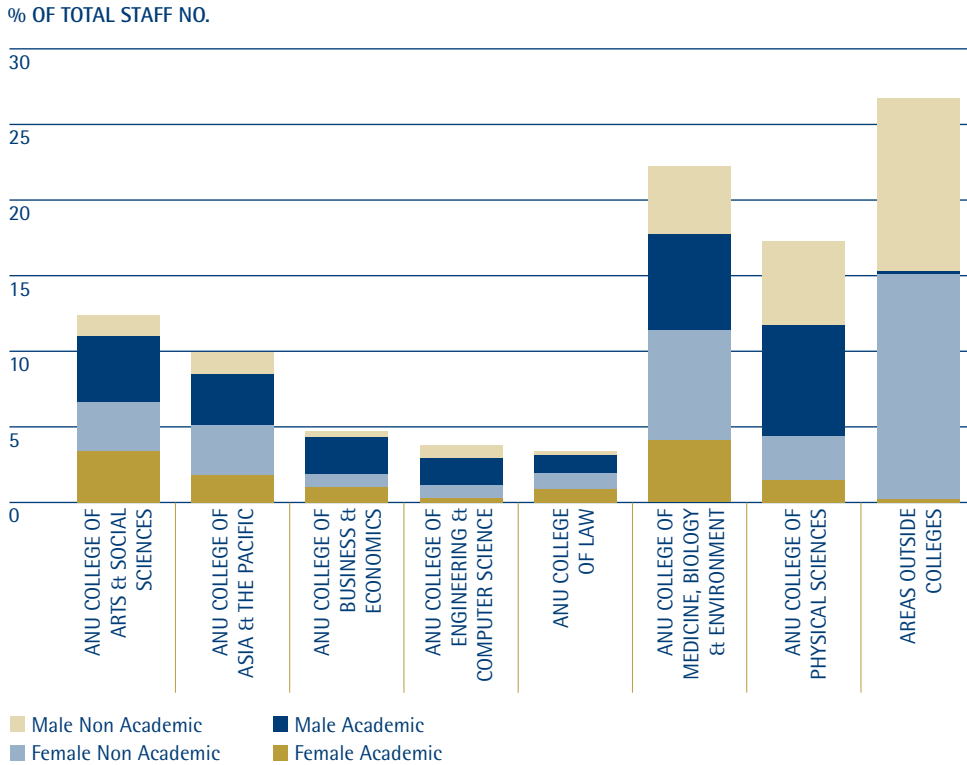
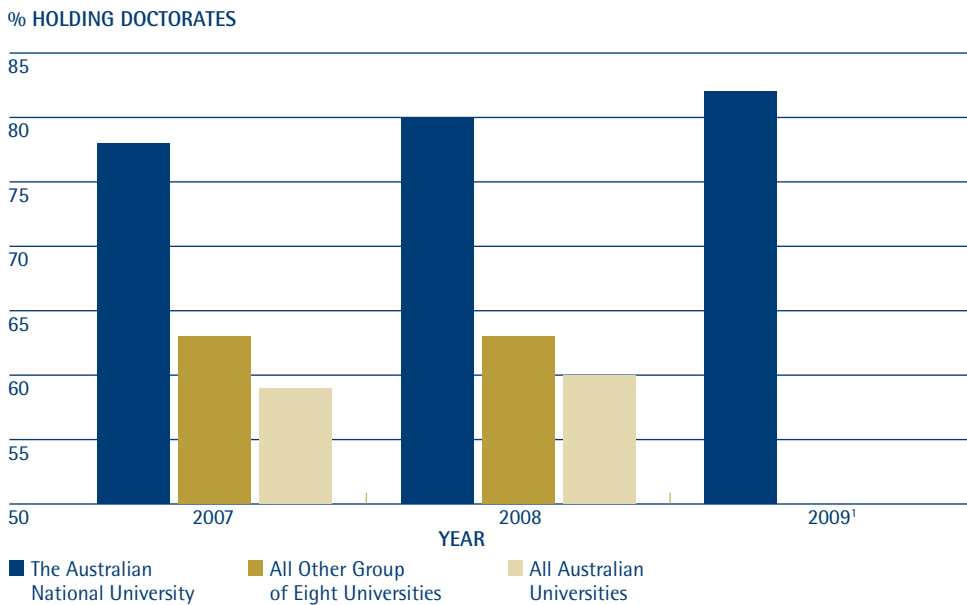


FIGURE 9: ACADEMIC STAFF HOLDING DOCTORATES



¹ Based on DEEWR submission data for 31 March 2009

GOVERNANCE & FREEDOM OF INFORMATION

This statement has been prepared to comply with sections 9, 15 and 16 of the Commonwealth Authorities and Companies (Report of Operations) Orders 2008 and section 8 of the Freedom of Information Act 1982 (Cth) not covered in other sections of this report.

Organisation & functions of the University's decision-making Council & Boards

COUNCIL

In accordance with the *Australian National University Act 1991* (Cth), the Council is the governing body of the University. The Act provides that the Council has the control and management of the University and is to act in all matters concerning the University in such manner as it thinks will best promote the interests of the University. The Council may enact delegated legislation, subject to the scrutiny of the Australian Parliament, known as Statutes, Rules and Orders.

The Council is chaired by the Chancellor and comprises 15 members: the Chancellor, the Vice-Chancellor, seven members appointed by the Minister on the recommendation of the Nominations Committee of Council; one person who is either a Dean or the Head of a Research School and is elected, in either case, by the Deans and the Heads of the Research Schools voting together; one member of the academic staff of the Institute of Advanced Studies elected by members of that staff; one member of the academic staff of The Faculties elected by members of that staff; one member of the general staff of the University elected by members of that staff; one postgraduate student of the University elected by the postgraduate students of the University; and one undergraduate student of the University elected by the undergraduate students of the University.

COMMITTEES OF THE COUNCIL

Council is assisted in its functions by a number of committees:

- The Audit and Risk Management Committee advises the Council regarding the quality of the audits conducted and the adequacy of the University's administrative, operating and accounting controls and compliance with relevant legislation and policies; the Committee also oversees risk management planning and implementation within the University. Agenda and Minutes of Committee meetings are sent to Council members for information.
- The Finance Committee makes recommendations and decisions concerning financial and accounting matters of the University; a report from the Finance Committee is a standard item on the agenda for each meeting of Council. Agenda and Minutes of Committee meetings are sent to Council members for information.
- The Honorary Degrees Committee invites persons, within the terms of the Honorary Degrees Rules, to accept nomination for honorary degrees and recommends the names of those who accept nomination to the Council for admission.
- The Nominations Committee of Council makes recommendations to the Minister for Education; Employment and Workplace Relations on persons to be appointed to Council.
- The Committee on Conditions of Appointment of the Vice-Chancellor determines the conditions of appointment (including salary) of the Vice-Chancellor.
- The Emergency Appointment (Vice-Chancellor) Committee exercises in an emergency, the power of the Council to make acting appointments under sub-section 37 of the *Australian National University Act 1991* in relation to the office of Vice-Chancellor until the next meeting of the Council.

GOVERNANCE & FREEDOM OF INFORMATION

continued

The report of operations must provide an outline of the organisational structure of the Commonwealth authority (including subsidiaries) and the location of major activities and facilities.

Academic Structure of the University

ANU has seven Colleges, made up of a network of interrelated research and education Faculties, Research Schools and Centres:

→ ANU College of Arts and Social Sciences

- Australian Demographic and Social Research Institute
- Australian National Internships Program
- Centre for Aboriginal Economic Policy Research
- Centre for Educational Development and Academic Methods
- Faculty of Arts
- Research School of Humanities
- Research School of Social Sciences

→ ANU College of Asia and the Pacific

- Asia Pacific College of Diplomacy
- Crawford School of Economics and Government
- Faculty of Asian Studies
- Research School of Pacific and Asian Studies

→ ANU College of Business and Economics

- Australian Centre of Regulatory Economics
- Centre for Applied Macroeconomic Analysis
- School of Accounting and Business Information Systems
- School of Economics
- School of Finance and Applied Statistics
- School of Management, Marketing and International Business

→ ANU College of Engineering and Computer Science

- School of Computer Science
- School of Engineering
- Faculty of Engineering and Information Technology
- Research School of Information Sciences and Engineering

→ ANU College of Law

- Faculty of Law
- Legal Workshop

- **ANU College of Medicine, Biology and Environment***
 - ANU Medical School
 - Research School of Biological Sciences
 - The Fenner School of Environment and Society
 - The John Curtin School of Medical Research
 - Institute for Health
 - Australian Primary Health Care Research Institute
 - Australian Centre for Economic Research on Health
 - National Centre for Epidemiology and Population Health
 - Centre for Mental Health Research

- **ANU College of Physical Sciences***
 - Centre for the Public Awareness of Science
 - Faculty of Science
 - Mathematical Sciences Institute
 - Research School of Astronomy and Astrophysics
 - Research School of Chemistry
 - Research School of Earth Sciences
 - Research School of Physics and Engineering

- **National Centre for Indigenous Studies**

- **Australian Partnership for Advanced Computing**

The University structure (including subsidiaries) can be viewed at page 39 of this report or at http://info.anu.edu.au/OVC/Executive/040PP_University_Structure/index.asp

* Some internal restructuring became operational in 2009 but for formal reporting purposes, the new structure did not take effect until 1 January 2010.

EXTERNAL LOCATIONS OF MAJOR ACTIVITIES & FACILITIES

ANU School of Clinical Medicine

ANU College of Medicine, Biology and Environment
 The Australian National University
 The Canberra Hospital
 Yamba Drive
 Garran ACT 2605

Mt Stromlo Observatory

Research School of Astronomy and Astrophysics
 ANU College of Physical Sciences
 The Australian National University
 Cotter Road
 Weston Creek ACT 2611

GOVERNANCE & FREEDOM OF INFORMATION

continued

Kioloa Coastal Campus

Facilities and Services Division
The Australian National University
496 Murramarang Road
Kioloa NSW 2539

North Australia Research Unit

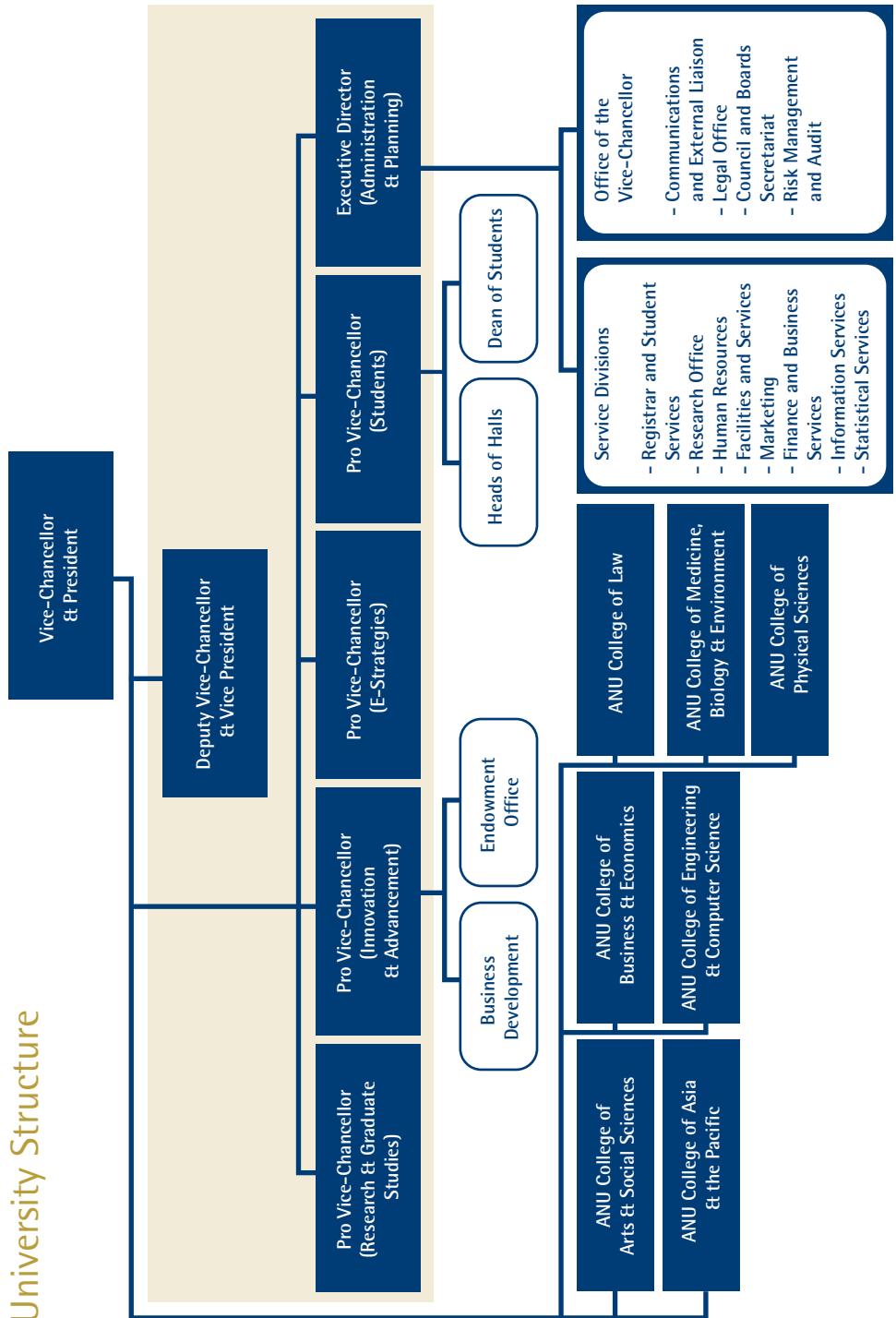
Facilities and Services Division
The Australian National University
23 Ellengowan Drive
Brinkin (Darwin) NT 0810

Siding Spring Observatory

Research School of Astronomy and Astrophysics
ANU College of Physical Sciences
The Australian National University
National Park Road
Coonabarabran NSW 2357

THE AUSTRALIAN NATIONAL UNIVERSITY ORGANISATIONAL STRUCTURE AS AT NOVEMBER 2009

University Structure



GOVERNANCE & FREEDOM OF INFORMATION

continued

Governance

INDUCTION & PROFESSIONAL DEVELOPMENT OF COUNCIL MEMBERS

Council members are provided with an induction program appropriate to their experience, a Handbook for Council Members and a program of professional development which may include corporate governance training and attendance at relevant conferences. Site visits are organised to follow selected Council meetings.

During 2009, a series of presentations was given to Council members on strategic issues including strategic positioning of ANU, the ANU Endowment for Excellence and issues arising from the 2009 ANU Executive Retreat.

INDEPENDENT LEGAL ADVICE & ACCESS TO INFORMATION

Council members are entitled to any information they need or require from the University to exercise their functions and to fulfil their duties as directors and, subject to the prior approval of the Chancellor (which is not to be unreasonably withheld), may seek independent legal advice at the University's expense on any issue submitted to Council.

PERFORMANCE REVIEW OF COUNCIL & ITS MEMBERS

The University's enabling legislation specifies the duties of the members of the University Council³ and the sanctions for the breach of those duties⁴. Council has the power to remove, by at least a two-thirds majority, a member who has breached his or her duties.

The Chancellor is responsible for discussing performance issues with individual Council members and aims to have an informal discussion with each Council member once a year with a view to giving and receiving feedback.

The performance of Council as a whole is reviewed on a regular basis.

PERFORMANCE REVIEW OF COUNCIL COMMITTEES

Evaluations of the performance of the Finance Committee and the Audit and Risk Management Committee normally are conducted biennially.

DISCLOSURE OF DIRECTORSHIPS & OTHER INTERESTS

Council members are required to declare relevant directorships and other interests annually and to update this information as circumstances change.

3 See sections 18A, B, C, D, E, F of the ANU Act 1991 (Cth) and sections 22, 23, 24, 25, 26, 27A, 27D, 27F of *Commonwealth Authorities and Companies Act 1997* (Cth).

4 See sub-section 15(1)(k) of the ANU Act 1991 (Cth) and sections 22, 23, 24, 25, 26, 27A, 27B, 27C, 27D, 27F of *Commonwealth Authorities and Companies Act 1997* (Cth).

A member of Council who has a material personal interest in a matter that is being considered, or is about to be considered, by Council must disclose the nature of the interest at a meeting of Council. The disclosure must be made as soon as possible after the relevant facts have come to the member's knowledge and must be recorded in the minutes of the meeting. Unless Council otherwise determines, the member must not be present during any deliberation by Council on the matter and must not take part in any decision of Council on the matter. Further, for the purpose of making this determination, any member who has a material personal interest in the matter to which the disclosure relates must not be present during any deliberation by Council on whether to make the determination and must not take part in making the determination.

CHANCELLORSHIP

Professor the Hon. Kim Beazley commenced his appointment as Chancellor on 1 January 2009 but subsequently resigned office following his appointment as the next Australian Ambassador to the United States of America. Professor the Hon. Gareth Evans AO QC was appointed as the twelfth Chancellor of ANU for a period of three years with effect from 1 January 2010.

IDENTIFYING & MANAGING BUSINESS RISK

This is set out in the Risk Management section of this Annual Report on page 55.

ESTABLISHMENT & MAINTENANCE OF APPROPRIATE ETHICAL STANDARDS

The University's Code of Conduct applies to all staff and to members of the University Council. It can be seen at http://policies.anu.edu.au/policies/code_of_conduct/policy. The University also has a number of Codes of Practice in place for Teaching and Learning, Higher Degree Research Supervision and Student Academic Honesty that apply to staff and students.

GOVERNANCE & FREEDOM OF INFORMATION

continued

Functions of the University's Statutory Officers

CHANCELLOR

The Chancellor presides at all meetings of Council and on all ceremonial occasions when available to do so. The Chancellor and the Vice-Chancellor sign the Annual Report of the University to Parliament.

PRO-CHANCELLOR

In the absence of the Chancellor, the Pro-Chancellor presides at meetings of the Council and on ceremonial occasions.

VICE-CHANCELLOR

The Vice-Chancellor is the Chief Executive Officer of the University. Under the Vice-Chancellorship Statute 2002, the Vice-Chancellor is charged with the responsibility to control and manage the affairs and concerns of the University; and the real and personal property at any time vested in or acquired by the University including the disposal of that property. The Vice-Chancellor has, and may exercise, such powers as are necessary or desirable to discharge those duties. The Vice-Chancellor also represents and acts for the University in its relations with the Commonwealth Government and other bodies.

Powers of the University

The University's powers are based on the *Australian National University Act 1991*. Subject to the Act, the University has power to do all things that are necessary or convenient to be done for, or in connection with, the performance of its functions outlined in section 5 of the Act. The University has made Statutes, Rules and Orders which can be viewed at http://info.anu.edu.au/policies/_HCABS/Legislation/index.asp.

The only delegated legislation that affects members of the public who are not members of the University community are Statutes made concerning the management of traffic and parking on campus, which can also be found at http://info.anu.edu.au/policies/_HCABS/Legislation/index.asp.

DOCUMENTS AVAILABLE FOR PURCHASE BY THE PUBLIC OR OTHERWISE ACCESSIBLE IN TERMS OF THE *FREEDOM OF INFORMATION ACT 1982*

- Council Statutes, Rules and Orders
- Annual Report of ANU to Parliament
- Undergraduate Handbook
- Graduate Coursework Handbook (electronic version only)
- Purchasing Policy and Procedures
- Finance and Business Manual (electronic version only)
- Occupational Health and Safety Unit information

Documents which are customarily made available to the public otherwise than under the *Freedom of Information Act 1982*, free of charge upon request, are the ANU Reporter, information pamphlets and booklets on courses and academic requirements in various disciplines, information on external scholarships, Library Guides and an accommodation brochure.

Internal working documents, including internal user manuals, Financial Authorisations, Personnel and Payroll (General) Manuals and other policy and procedural documents, are also available.

FACILITIES FOR ACCESS

Limited facilities for the perusal of documents applicable to individual areas are available at the Human Resources Division and/or Division of Registrar and Student Services. Many documents are also readily available through the Internet at the University web site www.anu.edu.au

FOI PROCEDURES & INITIAL CONTACT POINTS

Applications for access to documents under the *Freedom of Information Act 1982* should be lodged in writing to the Freedom of Information Coordinator, University Records. The written application should include details of the document or information sought. In addition the application fee (currently \$30) or a request for its remission, an address to which notices may be sent and a telephone number effective during normal business hours (and in order to facilitate contact with the applicant) must be provided. Lodged applications for access to documents will be acknowledged and a decision notified to the applicant as quickly as possible in accordance with the *Freedom of Information Act 1982*.

Enquiries regarding freedom of information and access to documents may be made to:

FOI Coordinator

Building 10A
East Road
The Australian National University
CANBERRA ACT 0200
T: 02 6125 4237

ANU COUNCIL & UNIVERSITY OFFICERS

THE COUNCIL

Chancellor

Professor the Hon. Kim Beazley AC, BA(Hons) MA WAust, MPhil Oxon

Relevant experience: politics; higher education; international relations

Vice-Chancellor

Professor Ian Chubb AC, MSc DPhil Oxon, Hon DSc Flinders, FACE

Relevant experience: higher education

Seven members appointed by the Minister on the recommendation of the Nominations Committee of Council

The Hon. Justice Annabelle Bennett AO, BSc(Hons) PhD Syd, LLB UNSW

Relevant experience: higher education; community service; Judge; Senior Counsel; intellectual property

Ms Ilana R. Atlas, BJuris LLB(Hons) WAust, LLM Syd

Relevant experience: Group Executive, People, Westpac; compliance; human resources; legal; banking and finance; mergers and acquisitions governance

Mr Michael Delaney, BA LaT

Relevant experience: Executive Director MTAALtd; Chief Executive Officer MTAASuper; Senior Executive in public administration and public policy; chief of Ministerial staffs; higher education and community service

Dr Vincent W.J. FitzGerald, BEc(Hons) Qld, PhD Harv, FIPAA, FAICD

Relevant experience: Chair, The Allen Consulting Group Pty Ltd; public administration; higher education; chair of a firm consulting in economics; public policy and regulation; directorships in the finance, property and arts sectors

Ms Robin Hughes AO, BA MA Syd

Relevant experience: independent producer, director and writer; media sector management; chairmanships and directorships in the arts and educational sector

Ms Martine D. Letts, BA(Hons) ANU

Relevant experience: Deputy Director, Lowy Institute for International Policy; international relations

Mr David Miles AM, LLB Melb

Relevant experience: Lawyer; Chair, Innovation Australia; Company Director

One person who is either a Dean or the Head of a Research School and is elected, in either case, by the Deans and the Heads of the Research Schools voting together

Professor Keith A. Houghton, BCom Melb, MSc (Econ) Lond, PhD WAust, FCA, FCPA

Relevant experience: Dean and Director, ANU College of Business and Economics

One member of the academic staff of the Institute of Advanced Studies elected by the members of that staff

Professor Ann McGrath OAM, BA(Hons) *Qld*, PhD *LaT*, FASSA

Relevant experience: Head of History Program, Research School of Social Sciences and Director of the Australian Centre for Indigenous History

One member of the academic staff of The Faculties elected by the members of that staff

Dr Samantha Cromptoets, BSc(Hons) *Melb*, PhD *ANU*

Relevant experience: Research Fellow, Rural Clinical School, ANU Medical School

One member of the general staff of the University elected by members of that staff

Mr Bill Speed, DipProjMgt *UNE Partnerships*, MMgt *ANU*

Relevant experience: tertiary education, project management

One postgraduate student of the University elected by the postgraduate students of the University

Mr Michael Carmody, BSc (Adv) BA(Hons) *Syd*, BSc(Hons) *ANU* (to 21 January 2009)

Relevant experience: tertiary education as research student

Ms Tegan Kelly BSc *Tas*, BSc(Hons) *ANU* (from 22 January 2009)

Relevant experience: tertiary education as research student

One undergraduate student of the University elected by the undergraduate students of the University

Mr Sham Sara (to 30 November 2009)

Relevant experience: tertiary education as undergraduate student

Mr Tully Fletcher (from 1 December 2009)

Relevant experience: tertiary education as undergraduate student

ANU COUNCIL & UNIVERSITY OFFICERS continued

UNIVERSITY OFFICERS

Chancellor

Professor the Hon. Kim Beazley AC, BA(Hons) MA *WAust*, MPhil *Oxon*

Pro-Chancellor

The Hon. Justice Annabelle Bennett AO, BSc(Hons) PhD *Syd*, LLB *UNSW*

Vice-Chancellor and President

Professor Ian Chubb AC, MSc DPhil *Oxon*, HonDSc *Flinders*, FACE

Deputy Vice-Chancellor and Vice-President

Professor Lawrence Cram, BSc(Hons) BE(Hons) PhD *Syd*

Pro Vice-Chancellor (E-Strategies)

Professor Robin Stanton, BE PhD *UNSW*, FTSE

Pro Vice-Chancellor (Innovation and Advancement)

Professor Michael Cardew-Hall, BSc(Hons) *Nott*, PhD *Imperial College*, CEng, FIMechE
(from 19 October 2009)

Pro Vice-Chancellor (Research and Graduate Studies)

Professor Mandy Thomas, BA(Hons) PhD *ANU*

Pro Vice-Chancellor (Students)

Professor Elizabeth Deane, BSc(Hons) PhD *Syd*

Executive Director (Planning and Administration)

Dr Brok Glenn, BSc(Hons) PhD *Lond*

Dean and Director, ANU College of Arts and Social Sciences

Professor Toni Makkai, BA CIAE, MSPD, PhD *Qld*

Dean of Arts and Social Sciences, ANU College of Arts and Social Sciences

Professor Joan Beaumont, BA(Hons) *Adel*, PhD *Lond*, FASSA

Dean and Director, ANU College of Asia and the Pacific

Professor Andrew MacIntyre, BA(Hons) MA PhD *ANU*

Dean and Director, ANU College of Business and Economics

Professor Keith A. Houghton, BCom *Melb*, MSc (Econ) *Lond*, PhD *WAust*, FCA, FCPA

Dean and Director, ANU College of Engineering and Computer Science

Professor Chris Baker, BSc(DipAppPhys) PhD *Hull*, FIET, MIEEE

Dean and Director, ANU College of Law

Professor Michael Coper, BA LLB(Hons) *Syd*, PhD *UNSW*, Barrister NSW, Barrister and Solicitor ACT

Dean and Director, ANU College of Medicine, Biology and Environment

Professor John Gibson, BSc(Hons) PhD *Sheff*, MA DSc *Camb* (to 31 May 2009)

Professor Andrew Cockburn, BSc PhD *Monash*, FAA (from 1 June 2009)

Dean of Medicine and Health Sciences, ANU College of Medicine, Biology and Environment

Professor Nicholas Glasgow, MBChB, MD *Auck*, FRNZGP, FRACGP, FACHPM

Dean and Director, ANU College of Physical Sciences

Professor Aidan Byrne, BSc MSc *Auck*, PhD *ANU*

**Dean of Science, ANU College of Medicine, Biology and Environment
and ANU College of Physical Sciences**

Professor Aidan Byrne, BSc MSc *Auck*, PhD *ANU*

Director, Faculty of Arts

Professor Joan Beaumont, BA(Hons) *Adel*, PhD *Lond*, FASSA

Director, Faculty of Asian Studies

Professor Kent Anderson, BA *Middlebury*, MA JD *Washington*, MJur *Oxon*

Director, Faculty of Engineering and Information Technology

Professor Chris Baker, BSc(DipAppPhys) PhD *Hull*, FIET, MIEEE

Director, Faculty of Law

Professor Michael Coper, BA LLB(Hons) *Syd*, PhD *UNSW*, Barrister NSW, Barrister and Solicitor ACT

Director, Faculty of Science

Professor Aidan Byrne, BSc MSc *Auck*, PhD *ANU*

Dean, ANU Medical School

Professor Nicholas Glasgow, MBChB, MD *Auck*, FRNZGP, FRACGP, FACHPM

Director, The John Curtin School of Medical Research

Professor Frances Shannon, BSc(Hons) PhD *NUIreland* (to 6 September 2009)

Professor Julio Licinio, MD *Bahia*, FAPA (from 7 September 2009)

Director, Research School of Astronomy and Astrophysics

Professor Harvey Butcher, BSc(Hons) *CalTech*, PhD *ANU*, FASA

Director, Research School of Biological Sciences

Professor John Gibson, BSc(Hons) PhD *Sheffield*, MA DSc *Camb* (to 31 May 2009)

Professor Kieran Kirk, BSc(Hons) PhD *Syd*, MA DPhil *Oxon* (from 1 June 2009)

Director, Research School of Business

Professor Keith A. Houghton, BCom *Melb*, MSc (Econ) *Lond*, PhD *WAust*, FCA, FCPA
(from 1 September 2009)

Director, Research School of Chemistry

Professor Martin Banwell, BSc(Hons) PhD *Well*, FAA, FRACI, FRSC, Hon FRSNZ

ANU COUNCIL & UNIVERSITY OFFICERS continued

Director, Research School of Earth Sciences

Professor Brian L.N.Kennett, MA PhD ScD *Camb*, FAA, FRS, FGSAust, Hon FRAS

Director, School of Health and Psychological Sciences

Professor Donald Byrne, BA PhD *Adel*, FASSA, FAPS

Director, Research School of Humanities

Professor Howard Morphy, BSc MPhil *Lond*, PhD *ANU*

Director, Research School of Information Sciences and Engineering

Professor Chris Baker, BSc (DipAppPhys) PhD *Hull*, FIET, MIEEE

Director, Research School of Pacific and Asian Studies

Professor Andrew MacIntyre, BA(Hons) MA PhD *ANU*

Director, Research School of Physics and Engineering

Professor Jim Williams AM, BSc PhD *UNSW*, FAA, FAIP, FIEAust, FTSE, FAPS, FMRS

Director, Research School of Social Sciences

Professor David Marsh, BA *Swansea*, PhD *Exe*

Director, ANU Climate Change Institute

Professor William Steffen, BSc *Missouri-Rolla*, MSc PhD *Flor*

Director, Asia Pacific College of Diplomacy

Professor William Maley AM, BEc LLB MA *ANU*, PhD *UNSW*

Director, Australian Centre for Economic Research on Health

Professor James Butler, BEcon MPEcon PhD *Qld*

Director, Australian Demographic and Social Research Institute

Professor Peter McDonald, BComm *UNSW*, PhD *ANU*, FASSA

Director, Australian National Internship Program

Associate Professor Robert Campbell, BEcon(Hons) *LaT*, PhD *ANU*

Director, Australian Primary Health Care Research Institute

Mr Robert Wells, BA *UNE*,

Director, Centre for Aboriginal Economic Policy Research

Professor Jon Altman, BA MA(Hons) *Auck*, PhD *ANU*, FASSA

Director, Centre for Educational Development and Academic Methods

Dr Linda Hort, BA(Hons) *ANU*, MEd *Griff*, License Geneva, Diplome de Specialisation Geneva, PhD *ANU* (to 18 January 2009)

Associate Professor Gerlese Akerlind, BA(Hons) *ANU*, PhD *Syd* (from 19 January 2009)

Director, Centre for Mental Health Research

Professor Helen Christensen, BA(Hons) *Syd*, MPsych(Hons) PhD *UNSW*, FASSA

Director, Centre for the Public Awareness of Science

Associate Professor Sue Stockmayer AM, BSc *Lond*, MSc PhD *Curtin*

Director, Crawford School of Economics and Government

Professor Andrew MacIntyre, BA(Hons) MA PhD *ANU* (to 30 June 2009)

Professor Trevor Breusch, BEcon(Hons) *Qld*, MEc PhD *ANU* (from 1 July to 18 August 2009)

Professor Richard Mulgan, BA *NZ*, BA MA BPhil *Oxon* (from 19 August to 15 November 2009)

Professor Tom Kompas, MSc BSc *Iowa State*, PhD *Tor* (from 16 November 2009)

Director, Mathematical Sciences Institute

Professor Alan Carey, BSc *Syd*, MSc *Adel*, DPhil *Oxon*

Director, Menzies Centre for Health Policy

Mr Robert Wells, BA *UNE*

Director, National Centre for Epidemiology and Population Health

Professor Niels Becker, BSc(Hons) MSc *Melb*, PhD *Sheff*

Director, National Centre for Indigenous Studies

Professor Michael Dodson AM, LLB BJuris *Monash*, Hon DLit *UTS*, Hon LLD *UNSW*

Director, National Europe Centre

Professor Simon Bronitt, LLB(Hons) *Brist*, LLM(Hons) *Camb*

Director, National Graduate School of Management

Professor Keith A Houghton, BCom *Melb*, MSc (Econ) *Lond*, PhD *WAust*, FCA, FCPA

Director, The Fenner School of Environment and Society

Professor Mike Hutchinson, BSc(Hons) MSc PhD DipCompSc *Syd* (to 28 February 2009)

Professor Stephen Robert Dovers, BAppSc *UC*, LittB PhD *ANU* (from 1 March 2009)

Dean of Students

Professor Penelope Oakes, BSc PhD *Brist*

Chief Finance Officer and Director, Finance and Business Services

Mr David Sturgiss, BComm *UNSW*, FCA, MAICD

Director, Communications and External Liaison Office

Ms Jane O'Dwyer, BA *Curtin*, MJourn *W'gong*

Director, Corporate Information Services

Mr Rick Van Haeften, GradDipIT *UNSW* (to 8 June 2009)

Director, Endowment for Excellence

Ms Joan Uhr, BEc *Qld*

Director, Information Services

Mr Rick Van Haeften, GradDipIT *UNSW* (from 9 June 2009)

ANU COUNCIL & UNIVERSITY OFFICERS continued

Director, Information Infrastructure Services

Mr Allan Williams, BSc(Hons) Grad Dip Comp Sci *UNE* (from 25 June 2009)

Director, Facilities and Services

Mr Warwick Williams, BSc *UNSW* (to 31 July 2009)

Mr Bart Meehan, BA *Deakin* (from 1 August to 30 August 2009)

Mr Mick Serena, MDesSc *Syd* (from 31 August 2009)

Director, Human Resources

Mr Ron Watts, BCom *WAIT*, MCom *Melb*, GradDip(BusMgt) *Monash*, FAHRI

Director, ICT Environments

Dr Markus Buchhorn, BSc(Hons) *Melb*, PhD *ANU* (to 16 May 2009)

Director, Marketing Office

Ms Tracy Chalk, BA *UNSW* (from 9 January 2009)

Director, Research Office

Dr Ian McMahon, BSc PhD *ANU* (to 7 June 2009)

Dr Simon Bain, BVSc *Syd*, MACVSc (from 8 June to 20 December 2009)

Dr John Wellard, BAppSc *LaT*, GradDipSci PhD *ANU* (from 21 December 2009)

Director, Risk Management and Audit Office

Mr Michael Schmidt-Liermann, BFA *UNE*, MBA *Macq*, DGFC, *Charles Sturt*, CPA, CIA (to 27 November 2009)

Director, Scholarly Information Services and University Librarian

Mr Victor George Elliott, MA *Well*, MLitt *Oxon*, DipNZLS, AALIA, FNZLIA

Director, University Accommodation

Ms Marie Wensing

Head, Council and Boards Secretariat

Ms Jan O'Connor, BA *James Cook*

Registrar

Mr Timothy Beckett, MA *Dub*

University Counsel

Mr Kenneth Grime, BEc LLB *Monash*, Barrister and Solicitor

Master, University House and Graduate House

Professor John Richards AM, BE PhD *UNSW*, FIREE, FIEAust, FIEEE, FTSE, CPEng

Head, Bruce Hall

Dr Deidre Pearce, BSc *James Cook*, PhD *ANU*, GDipEd (Higher and Further) *Curtin* (to 4 February 2009)

Ms Marion Stanton, BA(Hons) *ANU* (from 5 February 2009)

Head, Burton and Garran Hall

Mr Keith Conley, BA(Hons) *ANU*

Head, Fenner Hall

Mr Peter Fyfe, GradDipBus *UC*

Head, Toad Hall

Mr Selwyn Harcourt Cornish AM, BEc *WAust*

Head, Ursula Hall

Dr George Watt, BA(Hons) *Brigham Young*, MA *Calif State*, LittB *UNE*, PhD *Flin*, PGDipEd *Adel*

OFFICERS FOR CEREMONIAL OCCASIONS**Marshal**

Mr Selwyn Harcourt Cornish AM, BEc *WAust*

Dr Royston Gustavson, BA(Hons) *Qld*, MBA PhD *Melb*, FAICD (Alternate)

Esquire Bedel

Mrs Julie Gorrell, BA *ANU*

Professor Marie Carroll, BA(Hons) PhD *Otago*, MAPS (Alternate)

COUNCIL & COUNCIL COMMITTEES

Number of meetings and Members' attendance for the period 1 January to 31 December 2009.

COUNCIL

NUMBER OF MEETINGS: 6

Name of Member	Number of Attendances
Professor the Hon. K. Beazley AC (Chair)	6
The Hon. Justice A. Bennett AO	6
Professor I. Chubb AC*	6
Ms I. Atlas	5
Mr M. Carmody (to 21 January 2009)**	0
Dr S. Crompvoets*	6
Mr M. Delaney	6
Dr V. FitzGerald	6
Mr T. Fletcher (from 1 December 2009)**	1
Professor K. Houghton*	6
Ms R. Hughes AO	5
Ms T. Kelly (from 22 January 2009)**	6
Ms M. Letts	5
Professor A. McGrath OAM*	6
Mr D. Miles AM	6
Mr S. Sara (to 30 November 2009)**	5
Mr W. Speed*	6

AUDIT & RISK MANAGEMENT COMMITTEE

NUMBER OF MEETINGS: 4

Name of Member	Number of Attendances
Mr P. McPhillips (Chair)	4
Mr M. Delaney	3
Ms D. Moody	4
Professor P. Perkins	3

FINANCE COMMITTEE

NUMBER OF MEETINGS: 6

Name of Member	Number of Attendances
Mr M. Delaney (Chair)	6
Professor I. Chubb AC*	6
The Hon. Justice A. Bennett AO	4
Mr P. Carlin	5
Mr M. Empson	5
Mr P. Gourley	5
Mr K. Lyon	5
Mr P. McPhillips	6
Ms A. Terry	5

HONORARY DEGREES COMMITTEE

NUMBER OF MEETINGS: 1

Name of Member	Number of Attendances
Professor the Hon. K. Beazley AC (Chair)	1
The Hon. Justice A. Bennett AO	1
Professor I. Chubb AC*	1
Professor L. Cram*	1
Dr V. FitzGerald	1
Ms R. Hughes AO	1
Ms M. Letts	1
Professor N. Peterson*	0
Mr S. Sara**	1
Professor S. Von Caemmerer*	1

COMMITTEE ON CONDITIONS OF APPOINTMENT OF THE VICE-CHANCELLOR

NUMBER OF MEETINGS: COMMITTEE MEMBERS CONFERRED AS REQUIRED THROUGHOUT 2009 REGARDING CONDITIONS OF APPOINTMENT OF THE VICE-CHANCELLOR.

Name of Member
Professor the Hon. K. Beazley AC (Chair)
The Hon. Justice A. Bennett AO
Mr M. Delaney

COUNCIL & COUNCIL COMMITTEES continued

EMERGENCY APPOINTMENT (VICE-CHANCELLOR) COMMITTEE

NUMBER OF MEETINGS: 0

Name of Member

Professor the Hon. K. Beazley AC (Chair)

The Hon. Justice A. Bennett AO

Mr M. Delaney

NOMINATIONS COMMITTEE OF COUNCIL

NUMBER OF MEETINGS: 0

Name of Member

Professor K. Beazley AC (Chair)

The Hon. Justice A. Bennett AO

Professor I. Chubb AC*

Dr I. Morgan*

Mr R. King

Ms A. Sherry AO

Mr R. Williams AM

* ANU staff member

** ANU student

RISK MANAGEMENT

This statement has been prepared to comply with section 15 of the Commonwealth Authorities and Companies (Report of Operations) Orders 2008 not covered in other sections of this report.

It is acknowledged that risks are inherent in many of the University's activities and that every member of the University community contributes to the identification, management and reporting of these risks. Risk management at ANU assists in the protection of the University's key assets: people, reputation, finances, infrastructure and intellectual property. Articulated with the strategic goals and objectives of the University as identified in *ANU by 2010*, risk management at ANU is supported by:

- the ANU risk management framework aligned with the International Standard 31000:2009
- a robust governance structure, including the Audit and Risk Management Committee and the Risk Management Advisory Committee
- a risk management policy that clearly articulates and assigns key roles and responsibilities
- a risk-based Strategic Audit Plan (2009–2011)
- a Fraud Control Plan aligned with the Commonwealth Fraud Control Policy and Guidelines and based on a rolling risk review program
- a communication strategy underpinned by the ANU risk web portal
- an emergency response and business continuity planning framework
- risk and business continuity improvement programs
- the availability of risk management support, advice, assessment tools and training to academic and support areas.

INDEMNITIES

INDEMNITIES & INSURANCE PREMIUMS FOR ANU OFFICERS

All employees of the University are covered by the Code of Conduct. The Code states:

"The University will indemnify its staff against liabilities incurred by them while carrying out their duties in good faith for the University. It will stand behind its staff and meet the costs of actions that might be taken against them personally as though the action had been taken against the University, provided that the staff member concerned was acting in good faith."⁵

Professional indemnity insurance and other appropriate insurances, including a Directors and Officers Liability and Company Reimbursement policy, have been acquired on terms and conditions that are consistent with provisions in the *Commonwealth Authorities and Companies Act*.

5 http://info.anu.edu.au/Policies/_DHR/Policies/Code_of_Conduct.asp

ACCESS

This statement has been prepared to comply with section 18 of the Commonwealth Authorities and Companies (Report of Operations) Orders 2008 not covered in other sections of this report.

ANU has one of the highest rates of representation of students with disability in Australia's higher education sector reflecting a long-term commitment of the University to, and success in, diversity and the inclusion of people with disability in education.

In 2009, a review of the 2005-2008 ANU Disability Action Plan was completed. Development of the 2009-2012 plan involved wide consultation during 2009, and the draft plan was endorsed by the University Community Equity Committee and other stakeholders across the University. The Disability Action Plan 2009-2012 was approved by Council. While the 2005-2008 Disability Action Plan focused on improvements to the accessibility of campus buildings, structures, pathways, grounds and signage, the 2009-2012 Disability Action Plan focuses on making sure that policies, procedures and publications of relevance to people with disability are communicated effectively and are well understood.

EMPLOYMENT / STAFF

ANU is a foundation member of the Australian Employers Network on Disability and is actively involved in collaboration and networking with other employers in the ACT to increase the employment and work experience of people with disability and the confidence of staff to supervise and work with people with a disability. In 2009, the University increased its involvement by having an ANU representative on the Board of the Employers Network.

Access and equity policies of relevance to people with disability are widely available to staff and supervisors. These policies include: equal opportunity; disability; discrimination, harassment and bullying as well as policies and procedures for handling student complaints and staff grievances. Training continues to be provided to staff with human resource responsibilities and selection panel members to ensure they are capable and confident when dealing with employment-related matters for people with disability. Equity statements continue to be included in staff recruitment advertisements.

The Diversity and Inclusion Unit organised information lunchtime sessions on various topics including: mental health in the workplace; ageing and disability in the workplace; communicating with people with disability in the workplace; and recruiting people with disability.

During 2009, the Health, Safety and Work Environment Branch provided advice on reasonable adjustment to both people employed with a disability and staff acquiring a disability while working at ANU.

ACCESS continued

EDUCATION / STUDENTS

Support for students with disability is provided by ANU Colleges with the support of the University's Disability Services Centre. Support is guided by the Disability Standards for Education 2005 and relevant University policies. Core business activities include the provision of alternative exam arrangements, note taking assistance, equipment loans and the provision of resources in alternative formats to standard print.

The Disability Services Centre underwent a review in 2009. Recommendations included further consideration of the role of implementing assistive technology support and the Alternative Format Service. Project funding has been provided to further examine the issues. The report is expected in 2010.

Information for students with disability is available in all relevant University publications. The Disability Services Centre website was approved for upgrade in late 2009. It is anticipated that the new accessible website will provide an educative information hub on practices inclusive of disability within the ANU community.

ANU is also part of the project team that has applied for Australian Learning and Teaching Council funding to develop interactive online training for Australian universities in the Disability Standards for Education. The project team consists of the University of Canberra (lead institution), The Australian Association of Special Education and the Office of Human Rights and Disability Discrimination.

The University established a Graduate Access Scholarship in 2009, building on the suite of scholarships available to assist students with disability. The successful applicant will receive \$4,000pa for up to four years to assist with costs of study. The Disability Services Centre facilitates access for students with disability to the Australian Employer Network on Disability internship program 'Stepping Into... Employment'.

Disability parking, access maps and scooter and wheelchair loans provide access to the main ANU campus for visitors, staff and students with disability. The Disability Services Centre maintains the established pool of wheelchairs and scooters.

PHYSICAL ACCESS

Physical access requirements are monitored by a regular working group. The working group develops mitigation strategies and identifies opportunities for improvement. In 2009, just over \$130,000 was spent on disability access projects. Specific projects included:

- H.C. Coombs Building disability upgrade
- provision of an automatic sliding door as part of the Student Services Building Disability Upgrade
- provision of an automatic sliding door to the handicapped toilet as part of the Physics Link Disability Provisions
- provision of automatic sliding doors for disability access in the P.A.P. Moran Building.

Scooters for staff with disabilities are available for loan from the Disability Services Centre.

IT ACCESS

ANU is one of only four Australian universities to be full members of the Liberated Learning Consortium. This Consortium is dedicated to advancing speech recognition technology and techniques to create and foster barrier-free learning environments to improve accessibility to information. (See www.liberatedlearning.com)

In response to its goal of identifying and implementing new educative technologies to improve access to study materials, the ANU Disability Services Unit secured funding to scope the viability and need to appoint an Assistive Technology Officer. The role of the officer would be to ensure the ongoing development of suitable technologies within information infrastructure for students and focus on addressing issues identified in the Disability Action Plan.

In 2009, ANU continued to ensure that information systems standards met or exceeded accessibility guidelines with the implementation of a fully supported and compliant Learning Management Service. A range of assistive technology software was also made available on all publicly accessible desktop computers. These facilities are available to students 24 hours a day, seven days a week, providing around the clock access to ANU support networks. Enhanced digital lecture delivery was also implemented in 2009 to enable audio and screen captures to facilitate a more flexible learning environment for ANU students.

A SAFE, HEALTHY & SUSTAINABLE ENVIRONMENT

This is a report into occupational health and safety matters of The Australian National University under the requirements of section 74 of the Occupational Health and Safety (Commonwealth Employment) Act 1991.

The University is committed to providing a workplace that is safe and healthy for all staff, students, contractors and visitors; is without risk to the environment; and complies with the *Occupational Health and Safety Act 1991* and other relevant legislation, regulations, national standards and codes of practice. The University's Occupational Health and Safety Strategic Plan 2007–2010 provides the platform for meeting this commitment.

ANU conducted a review of its Occupational Health and Safety (OHS) management and structure in 2009. The review revealed that performance at the operational level was sound but there needed to be greater strategic focus to achieve the major strategic challenges outlined in the Deloitte Touche Tohmatsu 2008 audit. The University appointed a Senior Manager during 2009 and the OHS Branch underwent a change in focus to become the Health, Safety and Work Environment (HSWE) Branch.

HSWE Branch has worked in consultation with the University's Designated Work Groups and OHS Committees to endorse a revised structure for the University's OHS management system, which will reflect more appropriately the University's recent organisational restructure.

During 2009, the OHS knowledge within the University was strengthened through 32 different training courses, with 1,111 staff and students being trained in hazard awareness, risk management principles and safe work processes and practices. The 2009 attendance figures exceed 2008 (824) and 2007 (936). The supervisor training course was revised following feedback from participants. The course provides valuable insights for supervisors and managers into their responsibilities for improving the University's OHS performance through promoting a culture of safety.

During 2009, there was also a 50 per cent reduction in the number of compensable claims and a significant reduction in the number of work days lost. Appropriate corrective action has been taken or is currently underway regarding all incidents.

Incidents reported to Comcare in 2009 include:

- 39 serious personal injuries (up from 22 in 2008, down from 49 in 2007)
- 12 dangerous occurrences (up from seven in 2008 and four in 2007).

Comcare requested further information on 12 lodged Incident Reports, four of which involved small fires. No formal investigations were conducted. There were three electrical incidents in 2009 and these were appropriately reported. There were no Prohibition or Improvement Notices issued to the University by Comcare in 2009.

In addition to Comcare, HSWE Branch provided compliance data to the Australian Radiation Protection and Nuclear Safety Agency (ARPANSA), the Australian Safeguards and Non-Proliferation Office, National Industrial Chemicals Notification and Assessment Scheme, Australian Quarantine and Inspection Service, ACT WorkCover and ACT Health.

ARPANSA conducted one inspection in 2009, but did not identify any areas for improvement. ARPANSA recorded one breach related to sections 31 and 51 of the ARPANS Regulations, relating to the unauthorised disposal of reportable material. The University implemented procedures to prevent any such further breach.

In measuring its OHS performance ANU continues to benchmark itself against the Group of Eight (Go8) universities and other government agencies. The University compares more than favourably with the Go8 in all areas other than the average time off work for compensable injuries. ANU has performed consistently better than other government agencies in relation to the University's Comcare premium.

THE ENVIRONMENT

This statement has been prepared to comply with section 516A of the Environment Protection and Biodiversity Conservation Act 1999.

ACTIVITIES OF THE UNIVERSITY & THEIR ACCORDANCE WITH THE PRINCIPLES OF ECOLOGICALLY SUSTAINABLE DEVELOPMENT

The University has a long standing commitment to sustainability having developed its Environmental Policy and released its first Environmental Management Plan in 1999. This and subsequent plans have fostered a culture of environmental responsibility and established a program that demonstrates national and international leadership in the areas of environmental education and campus sustainability.

The University has updated its strategy and released the Environmental Management Plan 2009-2015 (www.anu.edu.au/anugreen/files/1165_ANU_EMP_09-15.pdf), which promotes a comprehensive and integrated program for improving campus sustainability. The principles underpinning the plan include establishing international best practice in campus sustainability, mainstreaming environmental management into the University decision making processes and developing an organisational culture that supports environmental sustainability and fosters sustainable behaviour within the campus and broader communities.

The objectives laid down in the plan include the following key targets:

- reducing energy use and greenhouse gas emissions by 35 per cent by 2020
- reducing potable water use by 50 per cent by 2020, including removing all potable water use from landscape irrigation by 2015
- reducing material waste to landfill by 70 per cent by 2020
- maximising sustainable transport by significantly increasing green commuting and minimising single-occupant vehicle trips, while also reducing emissions from fleet vehicles by 20 per cent by 2015 (noting that all emissions are currently offset)
- offsetting all emissions generated by air travel by 2015.

In addition, strategies have been implemented to continually reduce pollution risk, protect and enhance biodiversity values, establish sustainable procurement arrangements and design environmentally efficient buildings and plant.

During 2009, the University continued to implement various initiatives to improve its environmental performance. These included:

- the progressive enhancement of plant and equipment, along with site and landscape infrastructure to improve environmental performance, particularly in energy use and potable water consumption
- programs to build community awareness, including events such as Celebrate Sustainability Day (with approximately 2,500 participants) and Earth Hour (approximately 700 participants)
- projects for identifying and protecting biodiversity values
- the use of ecologically sustainable development principles in the designing of new buildings
- continuing the program of integrating academic activities with campus environmental programs where appropriate, including working with students through environmental internships (through ANUgreen) and the Sustainability Learning Community

- introduction of new and continued support of existing, alternative transport options, including the development of a car pooling database, the expansion of the campus bicycle fleet and the construction of secure bicycle storage facilities
- collaborations with other universities, nationally and internationally (through the IARU) in developing best practice models for campus sustainability.

The University was the recipient of the International Sustainable Campuses Network Award for Excellence in 2009, winning from a short list of nominees which included universities from Europe, Asia and the US. The award recognised the University's campus wide, integrated approach to sustainability as a best practice model.

The University was also the recipient of a Green Precincts Fund grant (\$1.03 million) which will fund a number of initiatives to improve environmental performance on campus and increase community awareness and education (www.anu.edu.au/anugreen/files/1138_ANU_fact-sheet.pdf).

THE IMPACT OF THE UNIVERSITY'S ACTIVITIES ON THE ENVIRONMENT

Given the size of the campus community and the wide range of academic and operational activities, some impacts on the environment are inevitable, in particular, through direct or indirect production of greenhouse emissions, water consumption, waste generation, procurement and development. The full details of this impact are published in the annual reports provided to the Vice-Chancellor in March each year. These reports are available at: www.anu.edu.au/facilities/anugreen/EMPC/Reports

The primary aim of the University Environmental Management Plan is to establish strategies and develop a campus culture that will progressively reduce these impacts through the introduction of better engineered solutions and more environmentally conscious behaviour. In regard to the latter, a number of informal and formal education programs have been established to build environmental awareness within the campus community. Additionally, a number of education and research programs also provide both undergraduate and graduate students with an understanding of the need to integrate the ecological, economic and social dimensions into decision making, with the consequent opportunity to influence behaviour on campus and the values carried into the broader community.

Collaborations on campus sustainability continue with other universities, including the Go8 and, internationally, through the IARU. The latter has provided the opportunity for student exchanges, where interns work in the Sustainability Office of a host university. A larger intern program is run on campus for ANU students as part of the ANUgreen (campus sustainability) Program. The University also continues to support informal education initiatives such as the Sustainable Learning Community.

REPORTING & REVIEW OF EFFECTIVENESS

The University's Environmental Management Planning Committee reports annually to the Vice-Chancellor on the status of the Environmental Management Plan, as well as submitting reports to the Commonwealth under the National Environmental Protection Measures legislation and National Greenhouse Emissions Reporting Scheme.

The annual report is published on the University website and made available to the campus community.